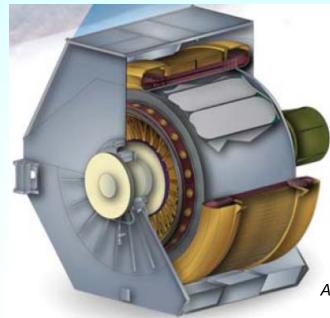
New technologies of electric energy converters and actuators

Andreas Binder



Source:

American Superconductor, USA



DARMSTADT UNIVERSITY OF TECHNOLOGY



Lecturer

Prof. Dr.-Ing. habil. Dr. h.c. Andreas Binder Institut für Elektrische Energiewandlung **TU Darmstadt** 64283, Landgraf-Georg-Strasse 4, Darmstadt tel.: +49-6151-16-24181 o. 24182 fax.:+49-6151-16-24183 e-mail: abinder@ew.tu-darmstadt.de

Tutorial

M. Sc. Gael Messager Institut für Elektrische Energiewandlung **TU Darmstadt** 64283, Landgraf-Georg-Strasse 4, Darmstadt tel.: +49-6151-16-24181 o. 24182 fax.:+49-6151-16-24183 e-mail: gmessager@ew.tu-darmstadt.de



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Institute of Electrical **Energy Conversion**



New technologies of electric energy converters and actuators **SS 2+1**

Lecture contents :

- Application of superconductors for electrical energy conversion
- Active magnetic bearings ("magnetic levitation")
- Magneto-hydrodynamic energy conversion
- Fusion research

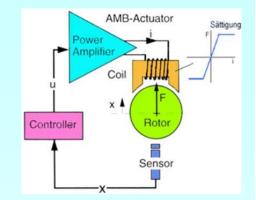
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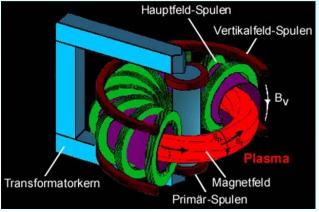
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Course language: German or English

Power point presentation (download) Paper copy: text book Tutorials, excursion to industry

Source: Siemens AG









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Source: Internet

Source: Internet

Type of examination

Written examination

1 hour

Six questions with about 10 min. per question

2 dates per year

List of questions: see text book







Learning outcomes

Understanding of

- basics of superconducting physics for power engineering
- applications of superconductivity in electrical power engineering
 i.e. fault current limiters, cables, storage devices, transformers, generators

Knowledge of active magnetic bearings,

electrodynamic levitation & superconductive levitation

- basics and applications in rotary machinery
- bearingless electrical machines
- application in high speed trains

Knowledge of basics in magnetohydrodyamics

- applications as generators and satellite propulsion

Understanding of basics in nuclear fusion for power generation

Calculation examples for self-training



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New technologies of electric energy converters and actuators

Contents

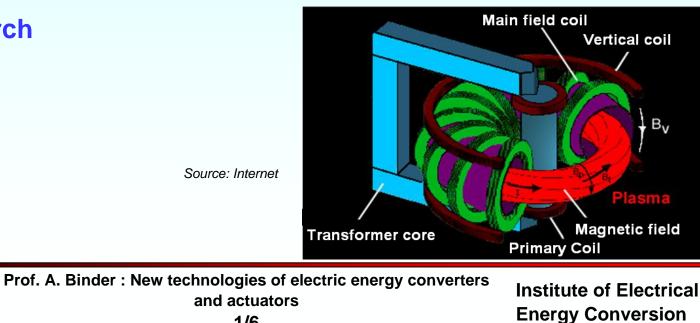
- **1. Superconductors for power systems**
- 2. Application of superconductors for electrical energy converters
- 3. Magnetic bearings ("magnetic levitation")
- 4. *Magneto-hydrodynamic (MHD) energy conversion*



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New technologies of electric energy converters and actuators

1. Superconductors for power systems

Used literature

Komarek, P.: Hochstromanwendung der Supraleitung, Teubner, Stuttgart, 1995
Buckel, W.: Supraleitung, VHC-Verlag, Weinheim, 1994
Buckel, W.; Kleiner, R.; Superconductivity, Wiley-VCH, 2004, Weinheim
Rödel, J.: Funktionswerkstoffe, Vorlesungs-Skript, TU Darmstadt, FB Materialwissenschaften, 1997
Wilson, M. N.: Superconducting Magnets, Oxford Science Publishing, Clarendon Press, 1998
Carlsaw, H. S.; Jaeger, J. C.: Conduction of Heat in Solids. Oxford Univ. Press, 1959
Brechna, H.: Superconducting Magnet Systems. Berlin, Springer, 1973
Bhattacharya, R. N.; Paranthaman, M. P. (ed.): High Temperature Superconductors, Wiley-VCH, 2010, Weinheim
Krabbes, G.; Fuchs, G.; Canders, W.-R.; May, H.; Palka, R.: High Temperature Superconductor



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New technologies of electric energy converters and actuators

1. Superconductors for power systems

- 1.1 Fundamentals of superconductivity
- 1.2 Technical design of superconductors
- 1.3 Superconductors for technical use
- Cooling procedures 1.4
- 1.5 Cryostats
- 1.6 Cryogenic technology

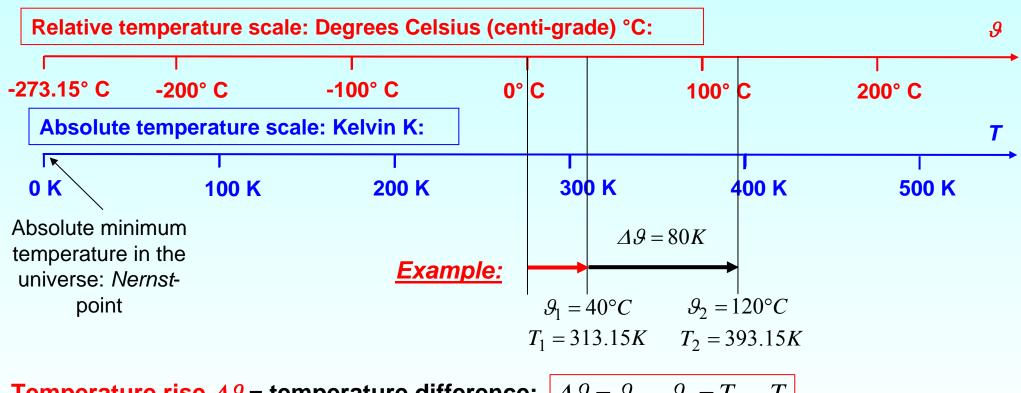


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Temperature scales – Temperature rise



Temperature rise $\Delta \mathcal{G}$ = temperature difference: $\Delta \mathcal{G} = \mathcal{G}_2 - \mathcal{G}_1 = T_2 - T_1$ (It is measured ALSO in K!)





Low-temperature superconductors – overview

- Good electrical "normal conductors" are NOT superconductors: Cu, Ag, Au, Al,...
- Ferromagnets are NOT superconductors: Fe, Ni, Co
- Superconductor 1st type: Pure elements (metals): Hg, Pb, Sn, Nb, Ta, V, La, Ga...
 - Below <u>critical temperature</u> T_c: <u>Meissner-phase</u>: interior B-field-free,
 - lossless current transport: for resistance unmeasurably small
- Superconductor 2nd type: Metal alloy (mixed crystal): Pb-In, Nb-Ti...
 - Below T_{c1}: Meissner phase;
 - above, up to T_{c2}: Shubnikov phase: interior not B-field-free, no lossless current transport, but considerably higher currents and magnetic fields
- "Hard" (technical) superconductors: artificial PINNING centres prevent fluxtube movement: lossless DC transport; but with AC losses



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High-temperature superconductors – overview

- Low-temperature superconductors: Transition temperature T_c below 20 K, He cooling for high *B*-fields necessary
- **High-temperature superconductors:** ceramic (brittle) materials: LaCu oxide, BaCu oxide, Bi-Al-Ca-Sr-Cu oxide, YBaCu oxide, ...,
 - Transition temperature between 30 K and 160 K
 - Cooling with LN₂ and LH₂ possible
- **Ceramic material:** Anisotropy in crystal structure! In "preferred" axis about 5 times higher external field strength B possible!
- High-temperature wire conductor: Bi-AI-Ca-Sr-Cu oxide
- **High-temperature solid & tape conductor: YBaCu oxide: Production as conductor tapes is promising future technology**



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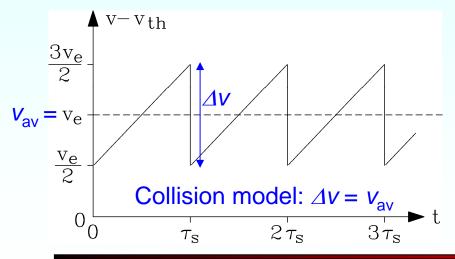
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1.1 Fundamentals of superconductivity **Mobility law for "free" electrons in metal crystal lattice** NEWTON's law: $\vec{F} = m_e \cdot d\vec{v} / dt \Rightarrow (-e) \cdot \vec{E} = m_e \cdot d\vec{v} / dt$ P. DRUDE: Collisions with oscillating atoms of crystal: mean time τ_s between two collisions $\vec{F} = m_e \cdot d\vec{v} / dt \approx m_e \cdot \Delta \vec{v} / \tau_s = m_e \cdot \vec{v} / \tau_s = (-e) \cdot \vec{E} \Rightarrow \vec{v}_{av} = \vec{v}_e = \mu_e \cdot \vec{E}$ $\vec{v}_e = \mu_e \vec{E}, \quad \mu_e = (-e) \cdot \tau_s / m_e$ Free electron mobility: $\mu_e < 0$ v_e : Average thermal velocity of the free electrons due to their kinetic energy $W_{el} \approx kT$ at

 v_{th} : Average thermal velocity of the free electrons due to their kinetic energy $W_{\text{kin}} \sim k \cdot T$ at a given temperature T of the metal (k: BOLTZMANN's constant)



Due to the collisions the force *F* is on average not proportional to the electron acceleration, but to (average) electron velocity! **This constitutes OHM's law for metals!**





1.1 Fundamentals of superconductivity OHM's law for pure metals

- Current density of free electrons in the metal: $\vec{J}_e = (-e) \cdot n_e \cdot \vec{v}_e$ $\vec{J}_e \uparrow \downarrow \vec{v}_e$ *n*_e: number of free electrons/volume

- OHM's law:
$$\vec{J}_e = (-e) \cdot n_e \cdot \vec{v}_e = (-e) \cdot n_e \cdot \mu_e \cdot \vec{E} = \kappa_T \cdot \vec{E} = \frac{1}{\rho_T} \cdot \vec{E}$$

Temperature dependent electrical conductivity κ_{T} determined by electron parameters:

$$\kappa_T = \frac{1}{\rho_T} = \mu_e \cdot n_e \cdot (-e) = \frac{e^2 \cdot n_e \cdot \tau_s}{m_e}$$

 τ_s : Collision time = corresponds to "average free path of motion" I_s :

$$v_e = l_s / \tau_s \Longrightarrow l_s = v_e \cdot \tau_s$$
 $\rho_T = \frac{m_e}{e^2 \cdot n_e \cdot \tau_s} = \frac{m_e \cdot v_e}{e^2 \cdot n_e \cdot l_s}$

Facit:

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With increasing temperature the atoms oscillate more, yielding more collisions for a certain travel distance, hence the collision time reduces. So the specific resistivity increases in metallic conductors with increasing temperature!

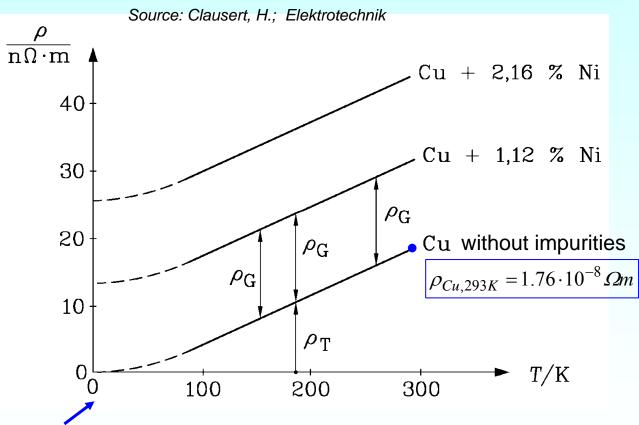


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Temperature dependence of electrical specific resistance $\rho(T)$

Mathiessen-rule: ρ has two components $\rho_{\rm G}$ and $\rho_{\rm T}$:



Nernst's absolute zero temperature point T = 0

$$\rho(T) = \rho_G + \rho_T(T)$$

b)

Resistance is collisions of free moving electrons in the metal crystal lattice (e.g. of Cu):

- a) with crystal lattice defects such as impurities (e.g. Ni), constituting ρ_G (independent of *T*)
 - with the oscillating atoms of the crystal lattice, constituting ρ_T

Oscillations "ARE" temperature 7!

<u>Hence:</u> $T = 0 \Leftrightarrow NO$ oscillations \Leftrightarrow

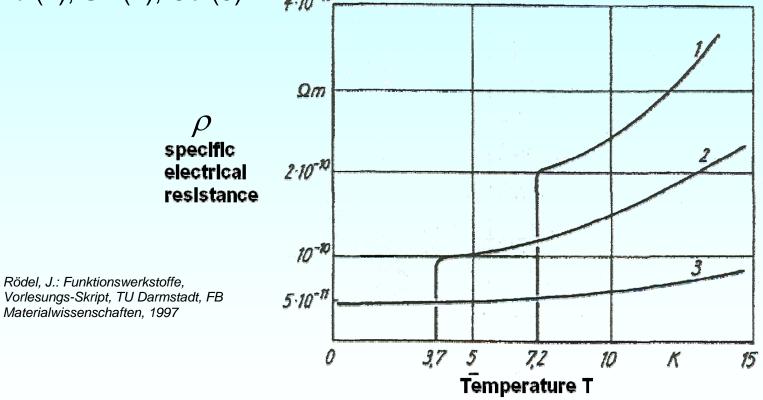
No collisions $\Leftrightarrow \rho_T = 0$





1.1 Fundamentals of superconductivity Superconductor 1st type – Compared to "normal conductor" copper

 Function of the specific electric resistance in low-temperature area for Pb (1), Sn (2), Cu (3)



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Historic development and progress in superconductivity

<u>Year</u>	<u>Event</u>	<u>Material</u>	T _c
1911	Kammerlingh-Onnes discovers superconductivity	Hg	4 K
1952	Niob-3-Tin material	Nb₃Sn	18 K
1957	Bardeen, Cooper, Schrieffer: quantum mech. superconductor theory		
1986	<i>Müller</i> and <i>Bednorz</i> discover "high-temperature superc." HTSC	(La,Ba) ₂ Cu ₂ O ₄	30 K
1987	Material "YBCO"	YBa₂Cu₃O _{7-δ}	93 K
1988	Material "BiSCCO"	Bi-Al-Ca-Sr-Cu-O	120 K
1993	() value: under pressure highest <i>T_c</i>	HgBa ₂ Ca ₂ Cu ₃ O _{8+x}	120 K (160 K)



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BARDEEN-COOPER-SCHRIEFFER theory

- **Cooper pairs:** Two electrons with opposite *momentum* and spin (angular momentum), coupled together via phonon interaction below T_c .
- **BCS** valid for low-temperature SC
- Lossless current transport in ideal crystal
- **Separation of the pairs above** T_c because of too strong crystal lattice oscillation
- Superconductivity is a macroscopically observable quantum-mechanical effect



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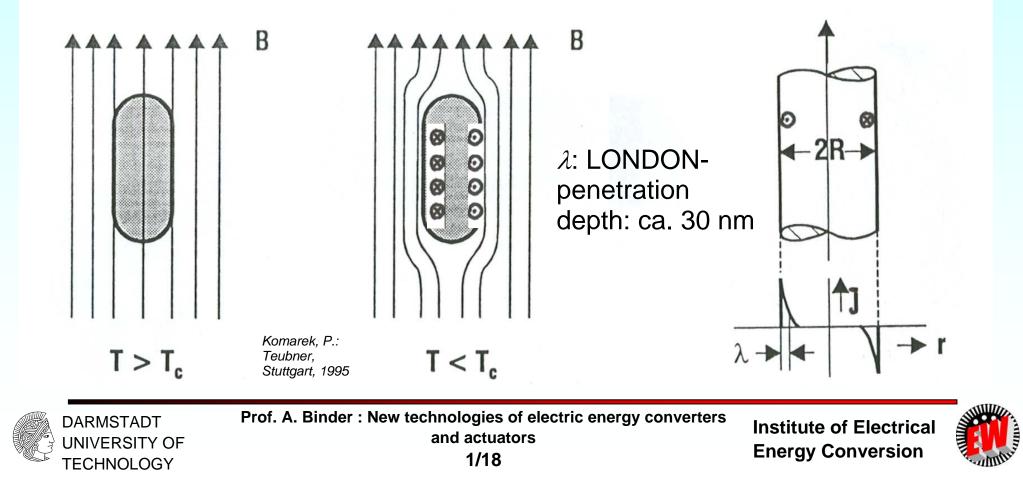
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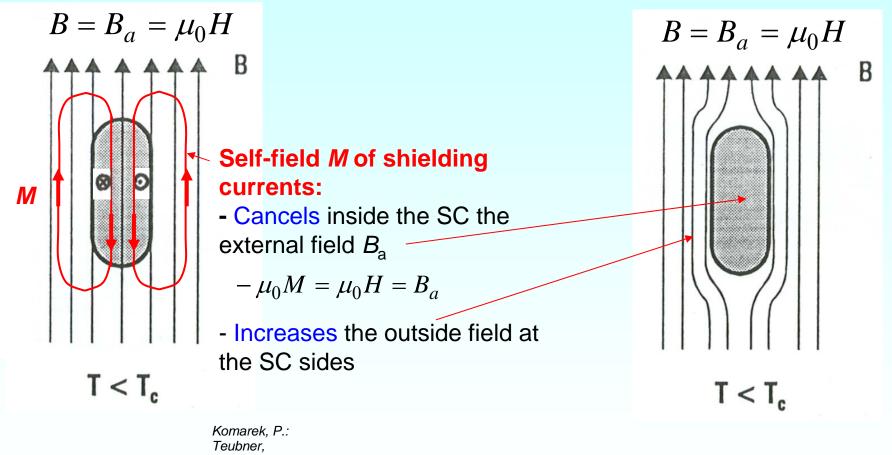


Meissner-Ochsenfeld effect

- Field *displacement* from the inside of the SC 1st type
- Super current density *J* as shielding circulating current



Self-field of shielding currents



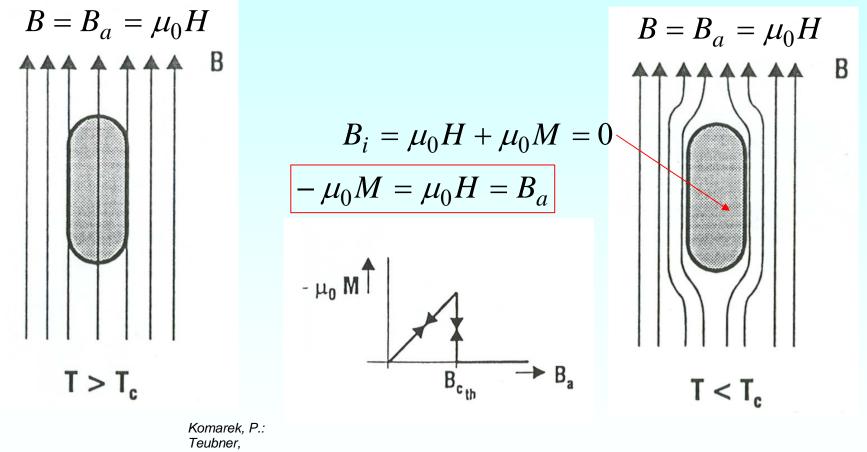
Stuttgart, 1995



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Ideal diamagnetism



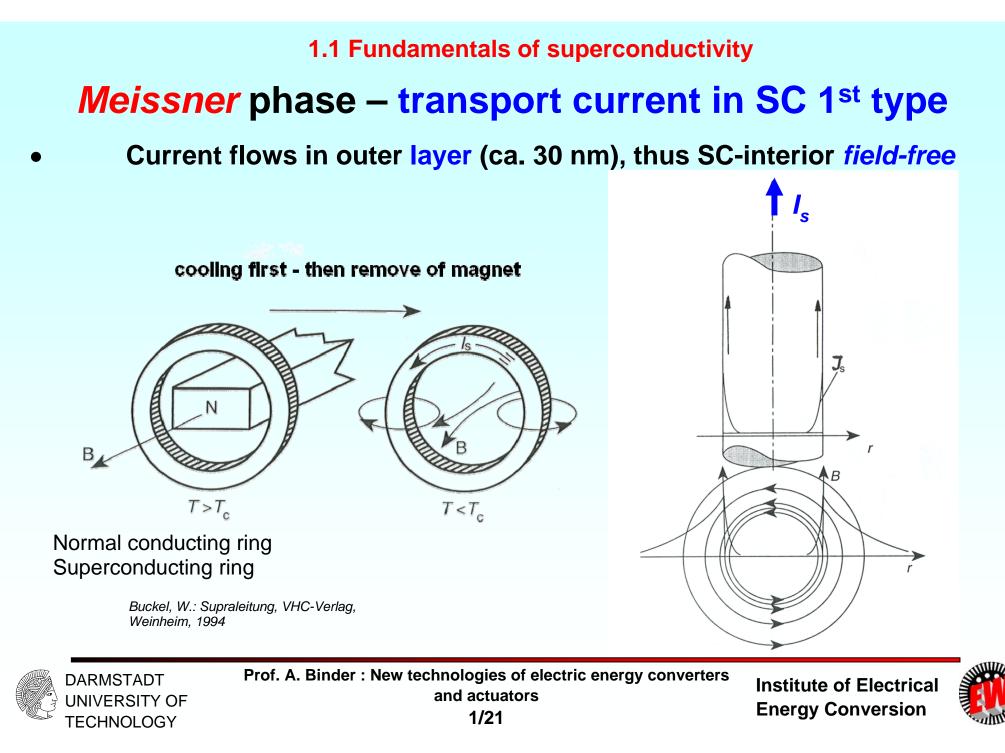
Stuttgart, 1995



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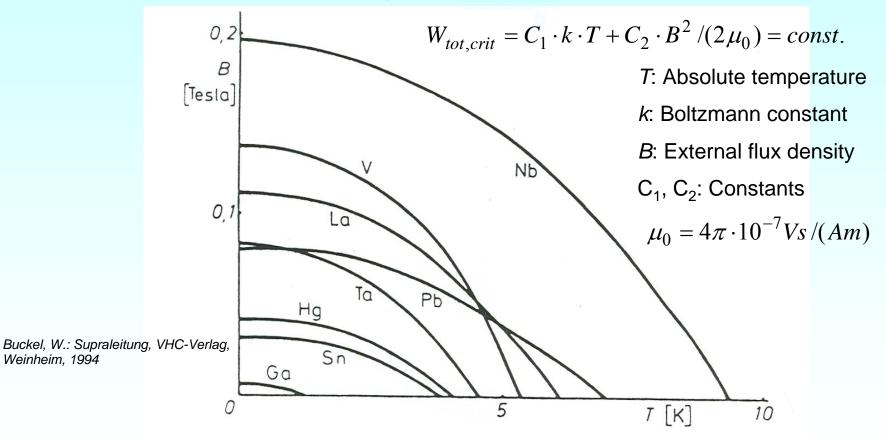
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1.1 Fundamentals of superconductivity Meissner phase – critical flux density **B**_c

In external field B the energy state of Cooper pairs is raised, hence the transition temperature decreases

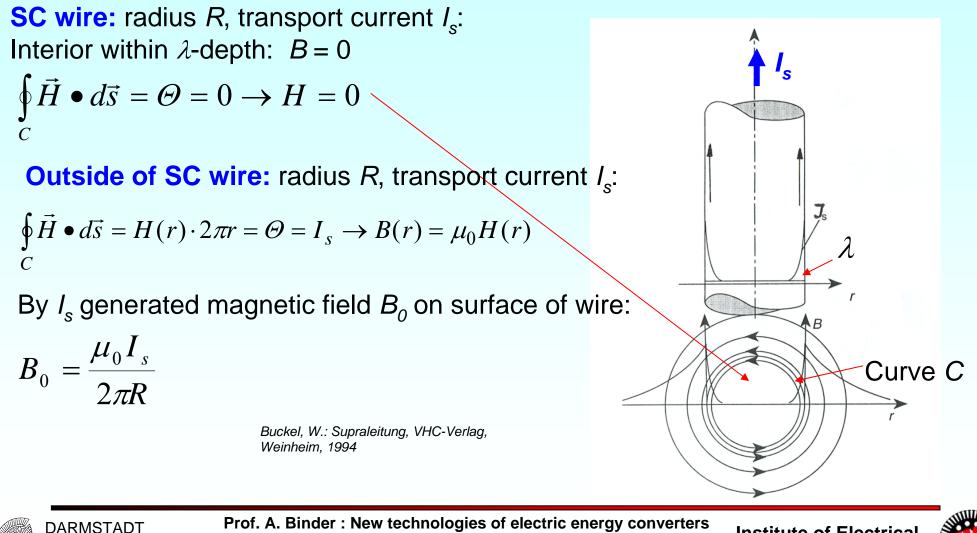


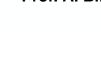


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Critical Current Density $J_c(1)$





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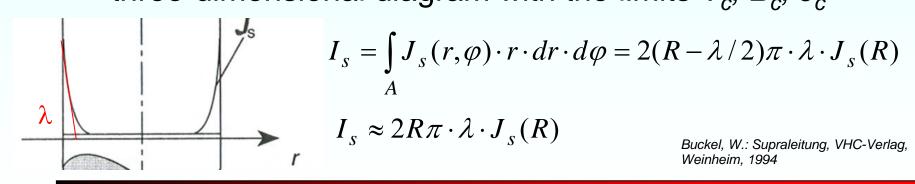




Critical Current Density J_{c} (2)

- SC wire: radius R, transport current I.:
- $B_0 > B_c$: superconducting condition expires: $B_c = \frac{\mu_0 I_{s,c}}{2\pi R}$ Corresponding super transport current density is critical current density J_c . $J_{s,c} = I_{s,c} / (2R\pi\lambda)$
- Superconducting operating area:

three-dimensional diagram with the limits T_c , B_c , J_c





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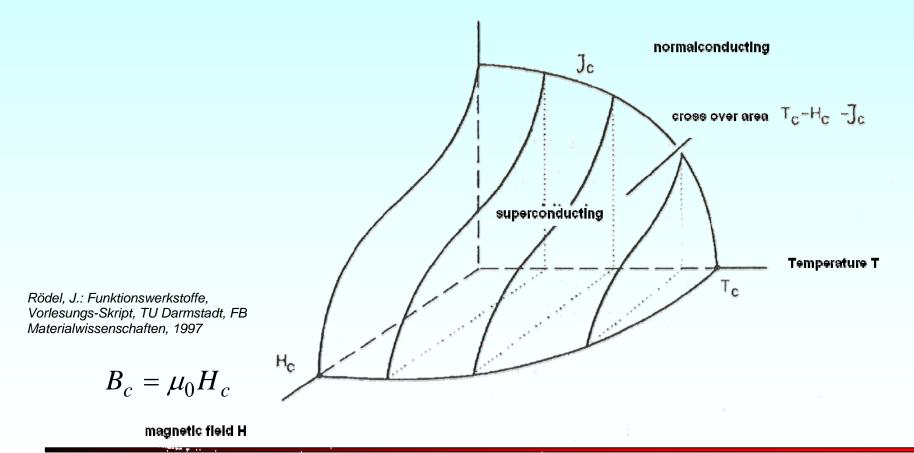




Three-dimensional phase diagram of a super-conductor

Superconducting state is possible only within the T_c - B_c - J_c -area

Current density J





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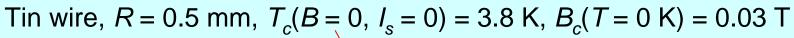
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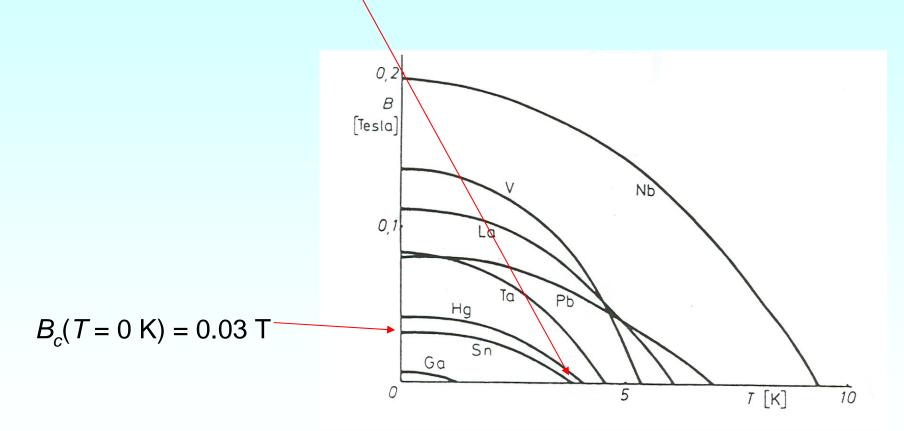
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Transport current in SC 1st type

Example:









Transport current in SC 1st type

<u>Example</u>: Tin wire, R = 0.5 mm, $T_c(B = 0, I_s = 0) = 3.8 \text{ K}$,

 $\lambda = 30 nm$ $I_{sc} = 2\pi RB_{c} / \mu_{0} = 75A$ $B_{c}(T=0K) = 0.03 T$

 $J_{c} = I_{sc} / (2\pi R\lambda) = 7.9 \cdot 10^{11} A / m^{2} = 7.9 \cdot 10^{5} A / mm^{2}$

Result:

For superconductors 1st type, the limits T_c , B_c , J_c are therefore too small to use these materials for lossless energy transport with high currents or the excitation of strong magnetic fields.

Remedy: Shubnikov phase:

Superconductor 2nd type by means of metal alloy with base material of superconductors of 1st type

 $B_{c1} < B < B_{c2}$: Magnetic field enters the interior of the superconductor as a periodical "Flux tube" pattern



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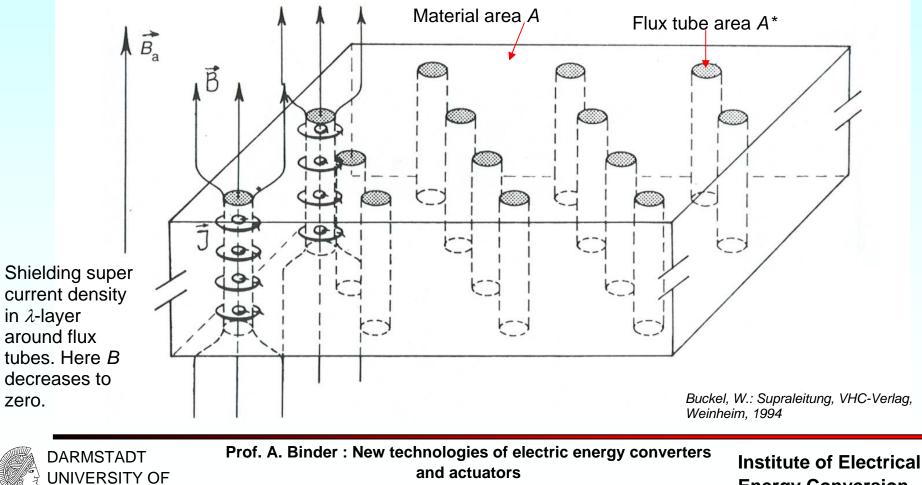


1.1 Fundamentals of superconductivity Shubnikov phase

Magnetic field "tubes" and supercurrents:

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Interior of the flux "tubes" is normal-conducting, so that flux penetration is possible.







 $A^* = R^{*2} \pi$

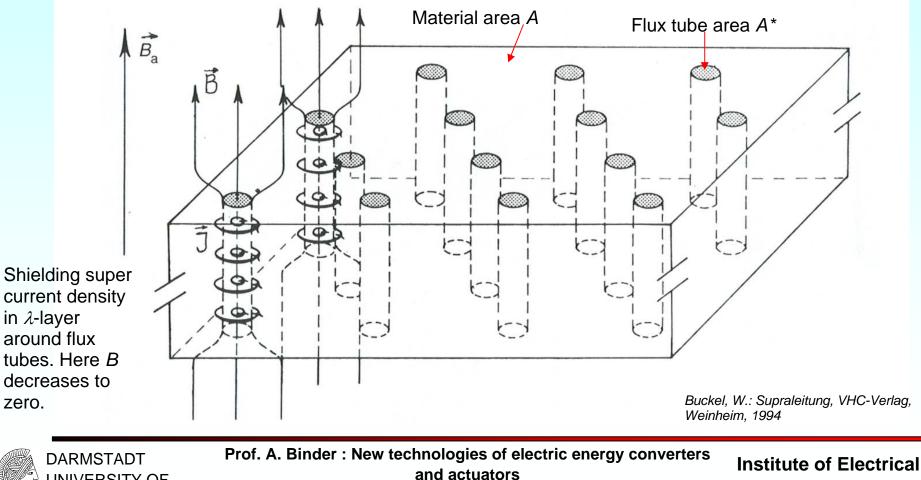
Flux tubes

Flux tube is a quantum mechanical quantity: $\Phi^* = B \cdot A^*$

Total flux with *n* tubes: $\Phi = B_a \cdot A = n \cdot \Phi^*$

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Flux "tube" movement in Shubnikov phase

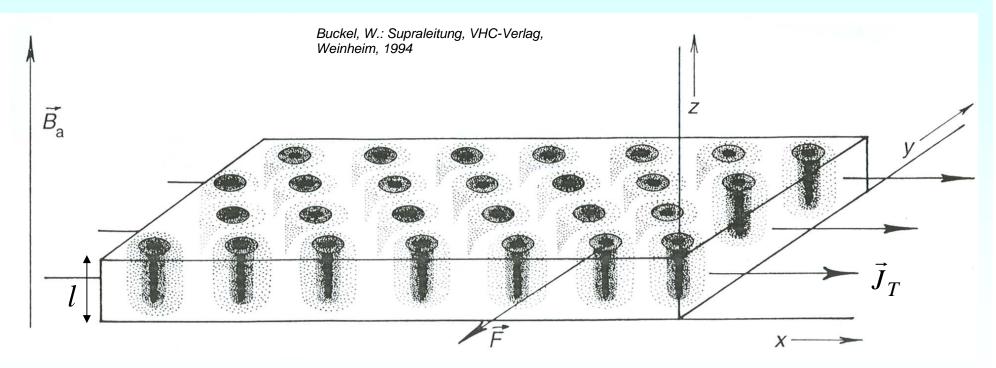
Transport current density J_{T} : Flux tubes shifted by *Lorentz force F*, pushing it into -*y*-direction \Rightarrow crystal "friction" loss \Rightarrow <u>no lossless</u> current transport

 $\vec{F} = \vec{J}_T \times \vec{B} \cdot \lambda \cdot 2\pi R * \cdot l$

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"Hard" (= technical) Superconductors

- Directed use of crystal defects: pinning centre
- Flux tubes get caught at pinning centres \Rightarrow losses disappear • \Rightarrow lossless DC current transportation
- $\vec{B} = \mu_0 \vec{H} + \mu_0 \vec{M}$ Magnetization *M*: -μ₀Μ Komarek. P.: - μ₀ · Μ - μ₀ Μ Teubner. Stuttgart, 1995 B ${\sf B_{c}}_{th}$ Bc B_c -> B. B_c - B_c

th: theoretical

Superconductor 1st type (ideal diamagnetism)

Pure SC 2nd type (non-ideal diamagnetism)

1: Meissner 2: Shubnikov

"Hard" superconductor (diamagnetism & Hysteresis)

"Hard" superconductor shows hysteresis due to pinning centres. Their crystal energy results in crystal "friction" losses, when flux tubes move!



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New technologies of electric energy converters and actuators

Summary: Fundamentals of superconductivity

- Metallic low temperature superconductors are in Meissner phase
- Metallic alloy low temperature superconductors are in Shubnikov phase
- Pinning centres in metal alloys to create "hard" superconductors
- "Hard" (technical) superconductors allow big electric transport currents



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New technologies of electric energy converters and actuators

1. Superconductors for power systems

- 1.1 Fundamentals of superconductivity
- 1.2 Technical design of superconductors
- 1.3 Superconductors for technical use
- Cooling procedures 1.4
- 1.5 Cryostats
- 1.6 Cryogenic technology



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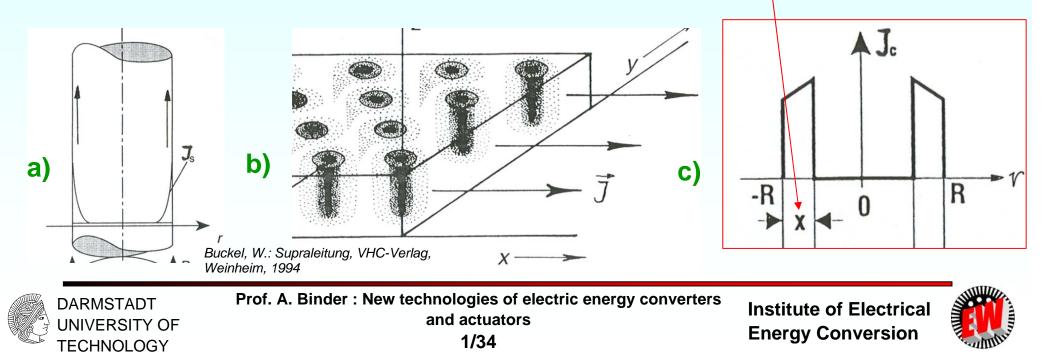


1.2 Technical design of Superconductors

Bean model: Current flow in technical superconductor

- Inside hard superconductor wire (radius R): Transport current I_s .
- a) $I_s < I_{s,c1}$: *Meissner* phase; current flows in penetration depth λ = ca. 30 nm
- b) *I*_{s,c1} < *I*_s < *I*_{s,cs}: *Shubnikov* phase; Flux tubes get inside; current flows
- in λ -border area of each flux tube.

c) Bean model: Instead of b), an increased equivalent penetration depth x is defined at the material border, where the current flows with the critical current density $J_c(T, B)$



1.2 Technical design of Superconductors

Bean model: Calculation for round wire, T = const. **1**st step: assumption: J_c independent of B: $R - x \le r \le R : J_c = const$. Transport current $I_{s:} I_s = J_c \cdot (R^2 - (R - x)^2) \cdot \pi$ Komarek. P.: Teubner. Stuttgart, 1995

Self-field **B** : $R - x \le r \le R$

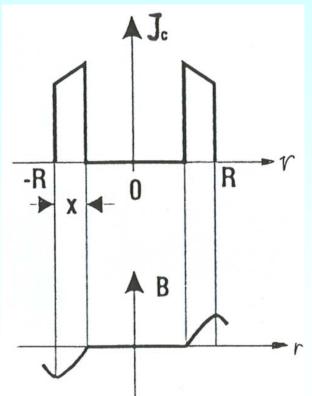
$$\oint_C \vec{H}(r) \cdot d\vec{s} = 2\pi r H(r) = \Theta = J_c \cdot \left[r^2 - (R - x)^2\right] \cdot \pi$$

$$B(r) = \mu_0 \cdot J_c \cdot (r - (R - x)^2 / r) / 2$$

<u>Result:</u> B depends on r, therefore does $J_{c}(B(r))$ too!

2nd step: Inside of SC: B smaller, outside bigger, therefore $J_{c}(B)$ smaller than assumed.

 3^{rd} step: With $J_c(B)$ new calculation of bigger x for given transport current $I_{\rm s}$.





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1.2 Technical design of Superconductors

Conduction of heat

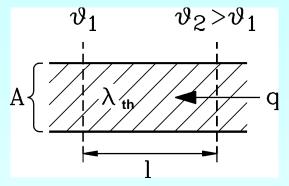
heat flow density $q = P_{th}/A$ [W/m²]

Conduction of heat: Fourier's law

 λ_{th} thermal conductivity (W/(m·K))

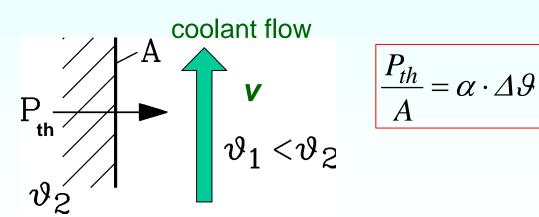
 $P_{\rm th}$ thermal power (W)

$$\frac{P_{th}}{A} = \lambda_{th} \cdot (\mathcal{G}_2 - \mathcal{G}_1) / l$$



Convection

Heat transfer coefficient α describes the cooling effect of flowing ("convection") coolant, passing by a cooling surface A with the velocity v



 α : heat transfer coefficient (W/(m²·K))

- α : function of
- coolant velocity v,
- coolant parameters: mass density, thermal conductivity, heat capacity, viscosity



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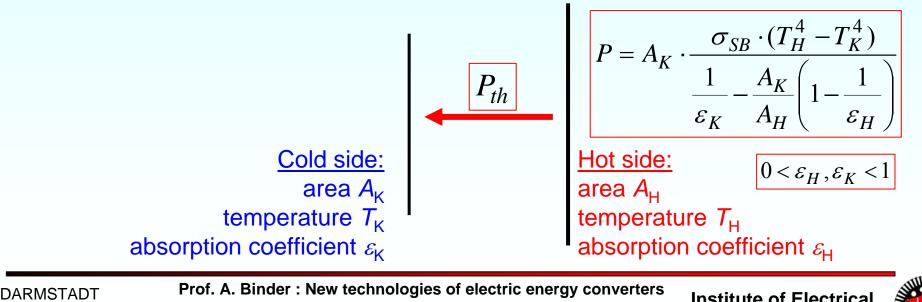
Radiation

Heat radiation does not need any medium to transport heat:

- Transferred heat P_{th} from hot (T_{H}) to cold $(T_{\text{K}} < T_{\text{H}})$ surface A
- $T_{\rm K}$, $T_{\rm H}$ are absolute temperatures, measured in K
- Heat radiation law of Stefan and Boltzmann:

$$\frac{P_{th}}{A} = \sigma_{SB} \cdot (T_H^4 - T_K^4)$$

- "Black body" radiation: $\sigma_{SB} = 5.67 \cdot 10^{-8} \text{ W/(m^2K^4)}$





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Laminar (viscous) and turbulent flow

Flow in tubes :

a) Low velocity: "parallel" orbits of mass particles due to dominating inner viscous forces between particles = LAMINAR (VISCOUS) flow

b) High velocity: Orbits of different particles mingled in "chaotic" way = not only in flow

direction, but also perpendicular: TURBULENT flow Based on model parameters: **REYNOLDS number**

$\operatorname{Re} = \frac{v_{av} \cdot d}{v}$		
	A	

 v_{av} : average flow velocity

- *d*: hydraulic diameter of tube
- d = 4A/U

A,U Cross sectional area / circumference of tube

In straight tubes with smooth surface:

laminar flow: turbulent flow: $Re < Re_{cr}$ (critical *Reynolds* number $Re_{cr} = 2320$) Re > 3000.

For good heat transfer: Turbulent flow is needed !



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Prof. A. Binder : New technologies of electric energy converters and actuators V_{av}



Bean model: Calculation for round wire, T variable 1st step: assumption: J_c independent of B: Komarek, P.: $I_s = J_c(T) \cdot (R^2 - (R - x)^2)\pi = J_c(T) \cdot (2Rx - x^2)\pi$ Teubner. Stuttgart, 1995 $x = R - \sqrt{R^2 - I_s} / (J_c \pi)$ 2nd step: If temperature T rises: J_c reduced, x must rise for a given I_{s} R **3**rd step: exact calculation with $J_c(T, B)$: $\mathbf{X} + \Delta \mathbf{X}$ b) When x becomes equal to R, then the transport current limit is reached: $I_s = I_{s.cr}$. At $I_s > I_{s,cr}$: Quenching into normal condition!



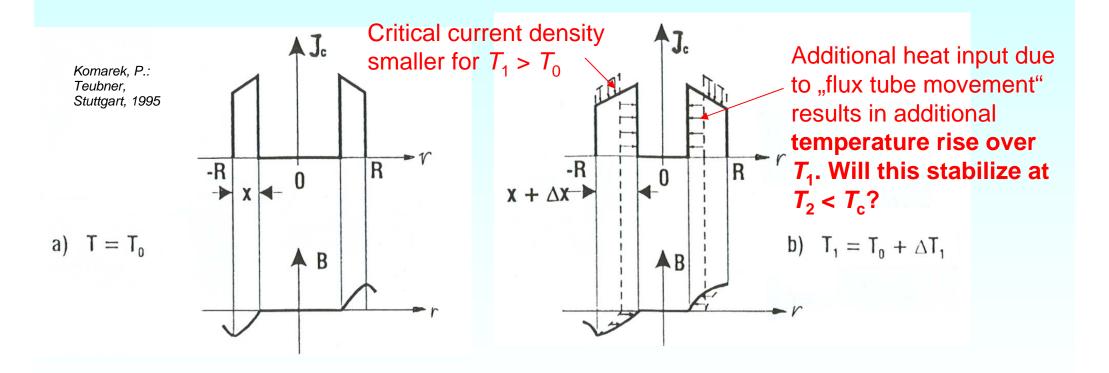
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How to attain thermal inherent stability ?

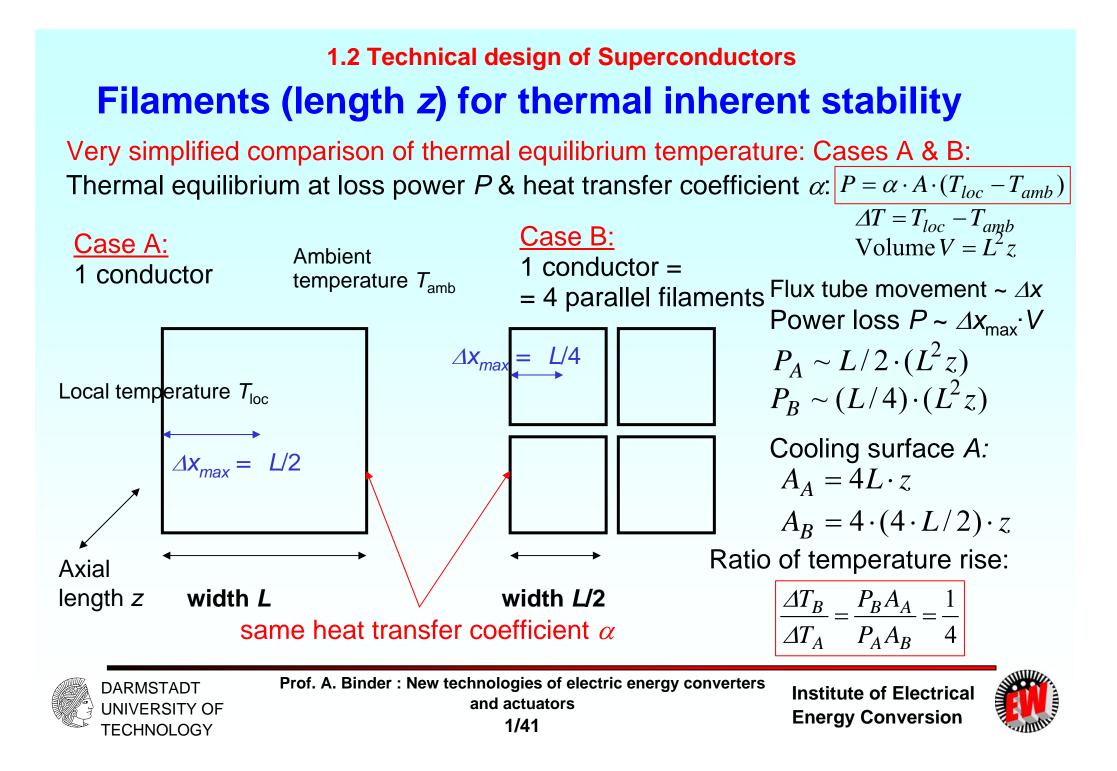
Transport current density distribution in superconductor wire (radius R, penetration depth x), while raising temperature from a) T_0 to b) T_1





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Inherent stability by filament wires

Arrange the SC as thin conductors (small radius), so that

- a) "flux movement" distances Δx are short and
- b) the additional heat input is small.

Then the thermal stability criterion is fulfilled.

<u>Hence:</u> A separation in many parallel-positioned thin filaments is necessary.



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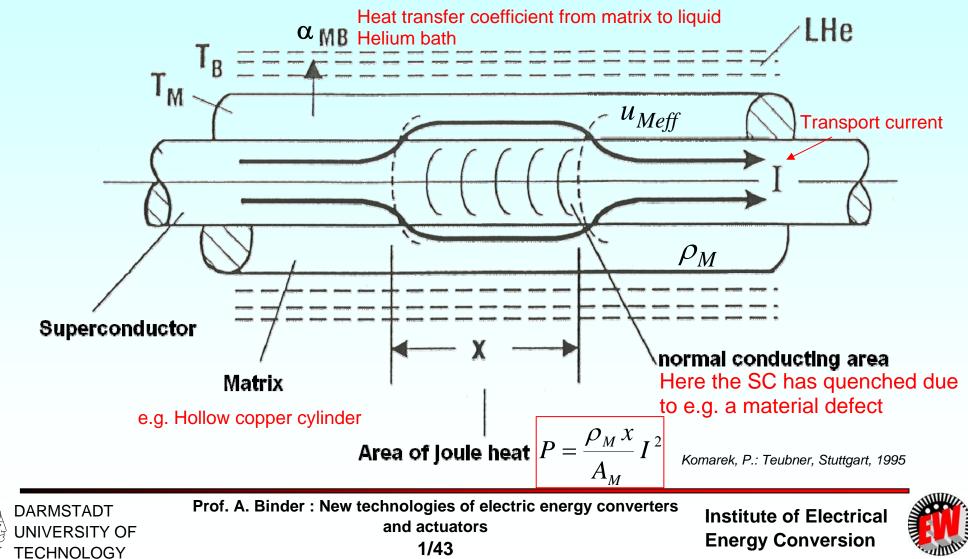
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How to scope with a local fault in a SC (quenching) at length x?



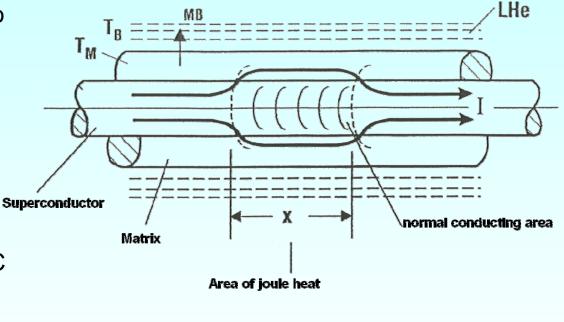
Cryogenic stabilisation of technical superconductors with enveloping normal conductor matrix

- If a local quenching occurs (e.g. due to a material defect), the resistivity of the then normally conducting SC is usually much higher than that of e.g. copper or aluminum.

- Hence a big local heat would melt the SC.

- Therefore a "matrix" (e.g. copper) bypass as a hollow cylinder around the SC wire takes over the transport current.

- The matrix parameters must be chosen properly, so that the matrix Joule losses do not heat up the SC beyond the critical temperature, which otherwise would lead to a "quench" of the complete SC.







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Cryogenic stabilisation with cooled matrix

• At partial "quenching" at length *x*: Losses $P = \frac{\rho_M x}{A_M} I^2$ in matrix must be so small that we keep $T_M < T_c!$

• Hence the matrix resistivity $\rho_{\rm M}$ must be low and the matrix cross section $A_{\rm M}$ and the matrix circumference $u_{\rm Meff}$ big.

 \Rightarrow Heat removal via $\alpha_{\rm MB}$ must be big enough, leading to the condition:

•
$$P = \frac{\rho_M x}{A_M} I^2 < \alpha_{MB} (T_c(B,J) - T_B) \cdot (x \cdot u_{Meff})$$
 : Stekly parameter $\alpha_{St} < 1$
$$\alpha_{St} = \frac{\rho_M I^2}{A_M \alpha_{MB} (T_c(B,J) - T_B) \cdot u_{Meff}} < 1$$

• The superconductor filament must be put into a highly conductive matrix (Cu, Al, Ag,...) of sufficiently large dimensions and good cooling conditions, to avoid that the quench fault length x increases along the superconductor



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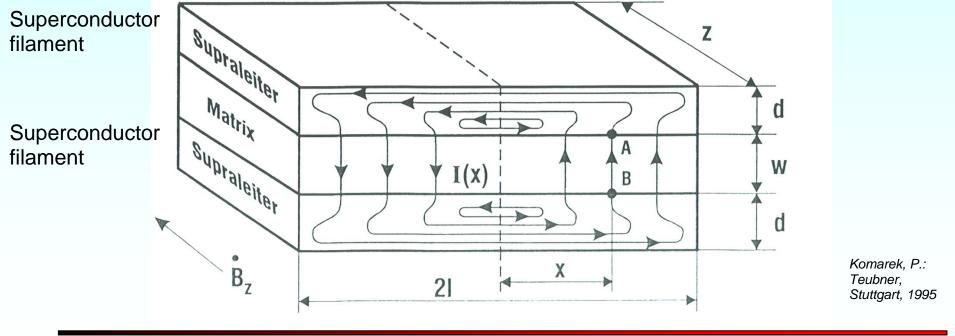


AC operation: "Coupling" of SC filaments with eddy currents

NbTi-SC in Cu matrix: SC: $d = 50 \ \mu\text{m}$, $J_c = 2.10^9 \text{ A/m}^2$, matrix: $\rho_M = 4.10^{-10} \ \Omega\text{m}$

External AC field with rate of change $dB_z/dt = 0.1$ T/s induces eddy currents I(x).

At a critical lenght $I_{c} = 2.8 \text{ cm}$ the eddy current density in the SC reaches the critical value J_{c} and quenches the SC filaments.









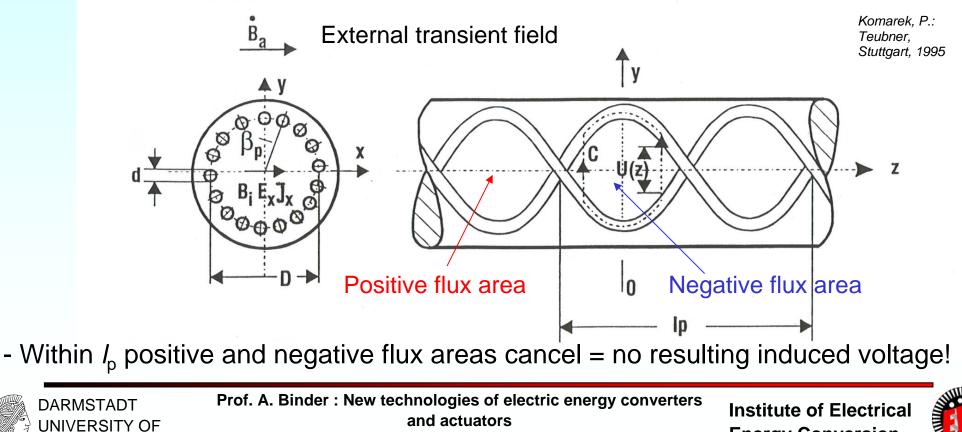
AC operation: Induced eddy currents

Flux:
$$\Phi(x,t) = B_z(t) \cdot w \cdot 2x$$

Induced voltage:
 $u_i = -d\Phi(x,t)/dt = -\dot{B}_z(t) \cdot w \cdot 2x$
Matrix coupling current ($R_{\rm SC} = 0!$):
 $I(x) = \frac{u_i(x)}{2R_{M,AB}} = \frac{-\dot{B}_z(t) \cdot w \cdot 2x}{2\rho_M \cdot w/(dx \cdot z)}$
Matrix coupling current density: $J(x) = \frac{I(x)}{dx \cdot z} = \frac{-\dot{B}_z(t) \cdot x}{\rho_M}$
Eddy current in the SC filament and the limit of the critical current density:
 $I_s(x) = -\int_0^x J(x) \cdot dx \cdot z = \frac{z \cdot \dot{B}_z(t) \cdot x^2}{2\rho_M}$
 $J_c \cdot d \cdot z = I_{s,c} = I_s(x = l_c) = \frac{z \cdot \dot{B}_z(t) \cdot l_c^2}{2\rho_M}$
Maximum admissible SC filament half length:
 $I_c = \sqrt{J_c 2\rho_M d/\dot{B}_z}$
 $I_c = \sqrt{2 \cdot 10^9 \cdot 2 \cdot 4 \cdot 10^{-10} \cdot 50 \cdot 10^{-6} / 0.1} = 28mm$
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1.2 Technical design of Superconductors **Twisting of superconductor filaments**

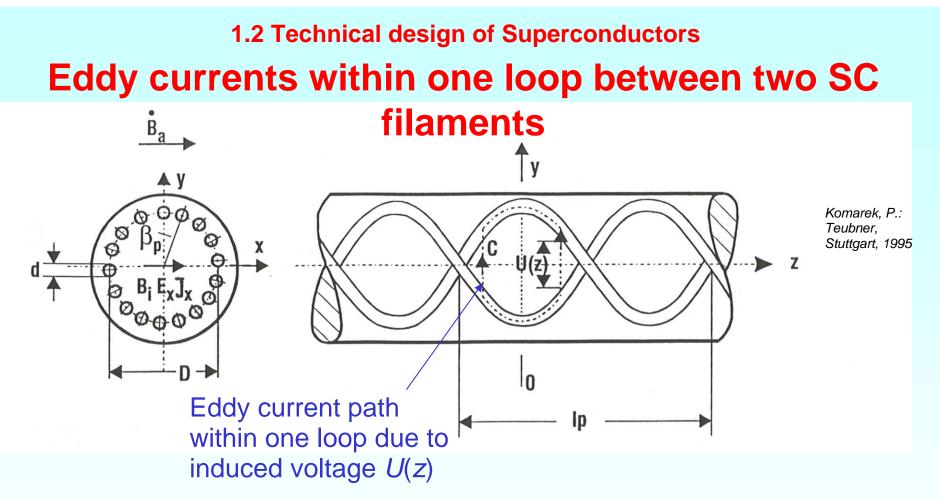
Twisting of the superconductor filaments with a **twist-length** I_p , matched to the transient field dB/dt, is necessary, to avoid coupling of adjacent filaments and a "quench" by eddy currents.





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Eddy currents within one loop $I(z) = U(z)/R_M(z)$ cause eddy current losses (Foucault losses) in the matrix:

$$P_{Ft} = U^2 / R_M = (\omega \cdot B \cdot D \cdot l_p / 2)^2 / R_M \sim (\omega \cdot B \cdot l_p)^2 / \rho_M$$



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SC alternating current losses

- Eddy current losses in the matrix: $P_{Ft} \sim (\omega B l_p)^2 / \rho_M$
 - Injection of resistive barriers (mixed matrix), CuNi-coating
 - Reducing the twist length I_p
- Hysteresis loss in SC: Partial Flux "tube" creep leads to hysteresis loop M(B). P_{Hy} prop. to the area of the magnetic hysteresis loop M(B).

 P_{Hy} ~ angular frequency ω & ~ SC filament wire diameter d

• For especially low loss of superconductors in the alternating field, filaments should be very thin (small diameter d, being way thinner than it is necessary for thermal stabilising).



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New technologies of electric energy converters and **actuators**

Summary: Technical design of superconductors

- Thin filaments for thermal stability
- Conductive matrix for quench take-over
- Much smaller filaments needed to reduce AC losses
- Twisted filaments for reduction of AC losses



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New technologies of electric energy converters and actuators

1. Superconductors for power systems

- 1.1 Fundamentals of superconductivity
- 1.2 Technical design of superconductors
- 1.3 Superconductors for technical use
- Cooling procedures 1.4
- 1.5 Cryostats
- 1.6 Cryogenic technology



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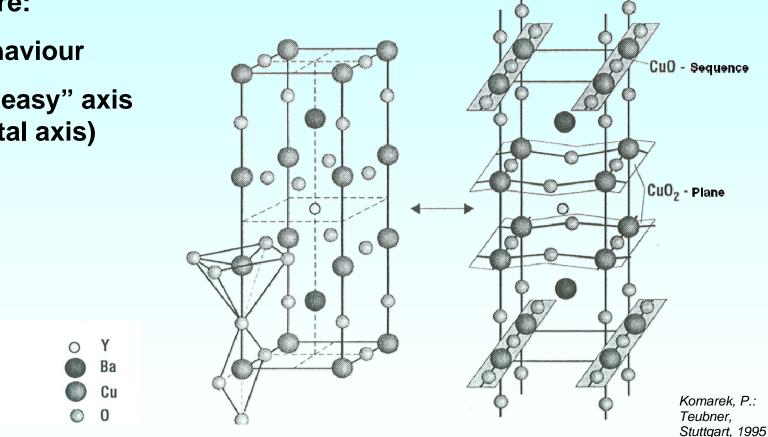


1.3 Superconductors for technical use HTSC Superconductor *Yttrium-Barium-Copper oxide* $YBa_2Cu_3O_{7-x}$

Crystal structure:

Anisotrope behaviour

a- and b-axis: "easy" axis (preferred crystal axis)





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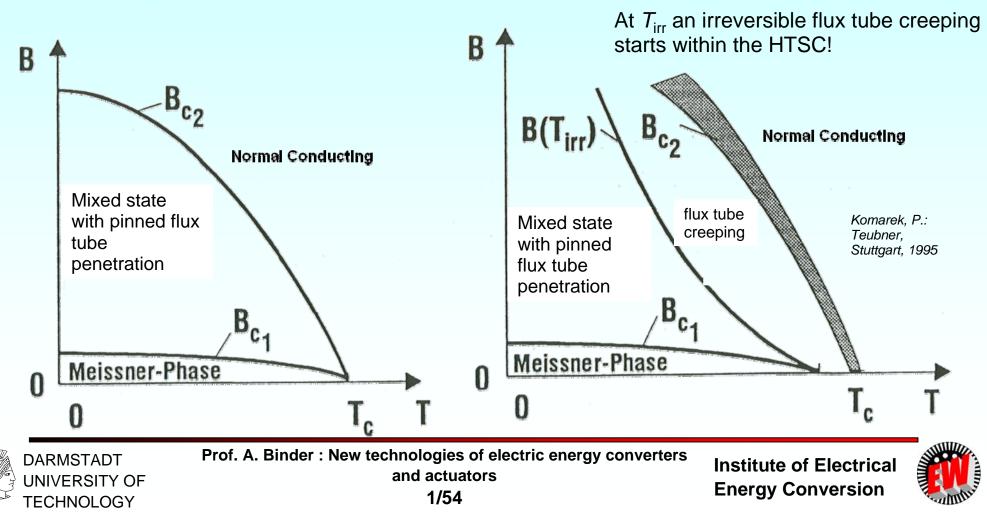


Limits of technical LTSC and HTSC superconductors

b) HTSC superconductor

B(T) phase diagram

a) LTSC superconductor,



Operating Areas of LTSC and HTSC

Superconductor type	LTSC	HTSC	
<i>Meissner</i> phase <i>B < B_{c1}</i>	Magnetic field does not enter SC, transport current flows without loss in the LONDON λ -layer		
Shubnikov phase	$B_{c1} < B < B_{c2}$	$B_{c1} < B < B(T_{irr})$	
	Magnetic field enters SC as regular flux tube pattern, transport current flows in the entire conductor cross section (lossless DC, AC: eddy current and hysteresis losses)		
Thermally activated flux creeping	Occurs only very close to T_c , hence not relevant	B(T _{irr}) < B < B _{c2} Through anisotropy and low pinning energy creeping of flux tubes: lossless DC not possible	

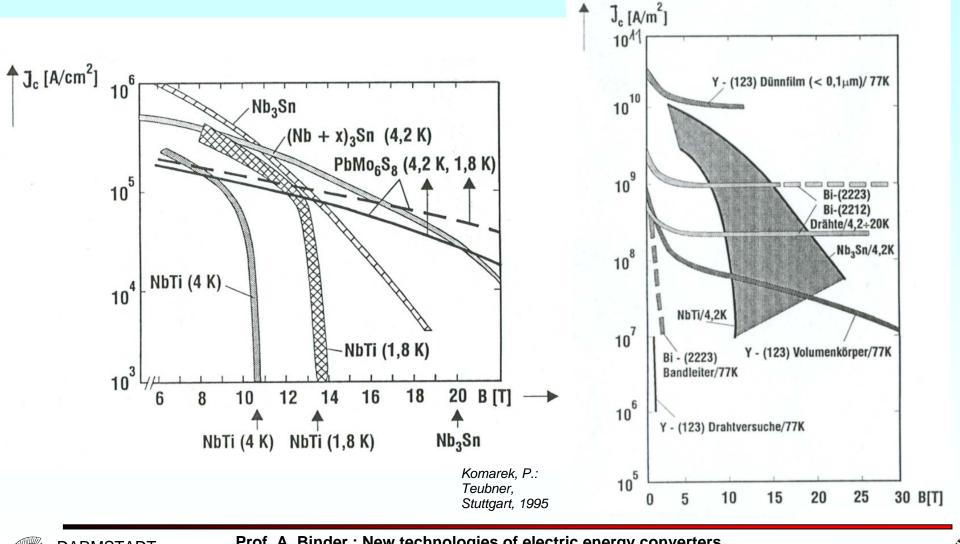


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Critical current density J_c and magnetic flux density B_{c2}









Parameters of LTSC and HTSC (1)

Material	$T_{c} (B=0) / K$	$B_{c2}(T=0)/T$	Application
NbTi (LTSC)	9.6	12 14	Standard material für $B \le 9$ T
Nb ₃ Sn (LTSC)	18	ca. 25	Standard material for high fields
Y(123) (HTSC)	ca. 90	>> 100 T *)	Magnet bearing, "Permanent" magnets, tape wire
Bi(2212)	ca. 80	> 20 T *)	Composite wire conductor
Bi(2223)	ca. 110	> 20 T *)	Composite wire conductor

(*) : parabolic extrapolated in 70 K-area), in "easy" crystal axis for HTSC

 $Bi_2Sr_2Ca_2Cu_3O_{8+x} = Bi(2223)$ $Bi_2Sr_2CaCu_2O_{8+x} = Bi(2212)$ $YBa_2Cu_3O_{7-x} = YBCO$



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Parameters of LTSC and HTSC (2)

Material		Influence of mechanical load on critical current density: tensile stress σ and plastic deformation (elongation) ε
NbTi	LTSC	Small influence for σ < 500 MPa, ε < 0.3 %
Nb ₃ Sn	LTSC	Very sensitive, because brittle: decline of up to 50 %, at shear stress: filamentary break
Y(123)	HTSC	See: properties of HTSC tape conductors
Bi(2223)	HTSC	See: properties of HTSC wire conductors

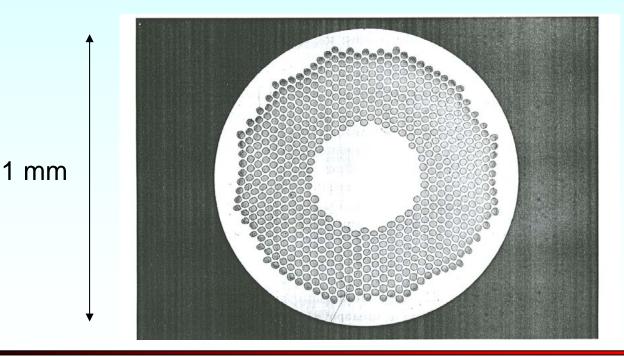
 $1 Pa = 1 N/m^2$, $500 MPa = 500 N/mm^2$





NbTi-composite-round wire, Cu matrix, DC conductor

- Diameter 1 mm, 864 NbTi filaments, critical current $I_c = 75 \text{ A} (5 \text{ T}, 4.2 \text{ K})$
- Area: Wire overall: 0.785 mm², part of superconductor: 0.1 mm²
- Area ratio matrix/superconductor = 7, $J_c = 75/0.1 = 750 \text{ A/mm}^2$ "Engineering" current density: 75/0.785 = 96 A/mm²



Komarek, P.: Teubner. Stuttgart, 1995



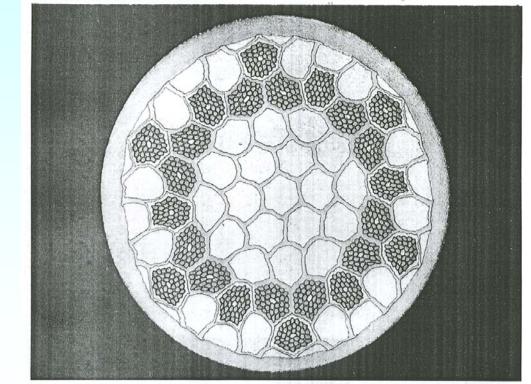
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1.3 Superconductors for technical use NbTi composite wire, Cu-CuNi mixed matrix, AC conductor

- Wire diameter 0.83 mm, 636 NbTi filaments (diameter 20 $\mu\text{m})$
- I_c = 430 A (5.5 T, 4.2 K), cross-sectional area: 0.54 mm², SC: 0.2 mm²,
- Cu: 0.34 mm², ratio Cu/SC = 1.7, J_c = 430/0.2 = 2150 A/mm²
 "Engineering" current density: 430/0.54 = 796 A/mm²



Komarek, P.: Teubner, Stuttgart, 1995

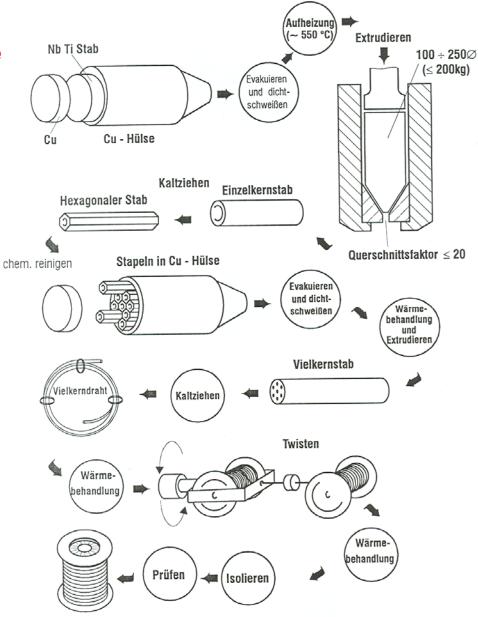


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0.83 mm



Production of NbTi composite conductors

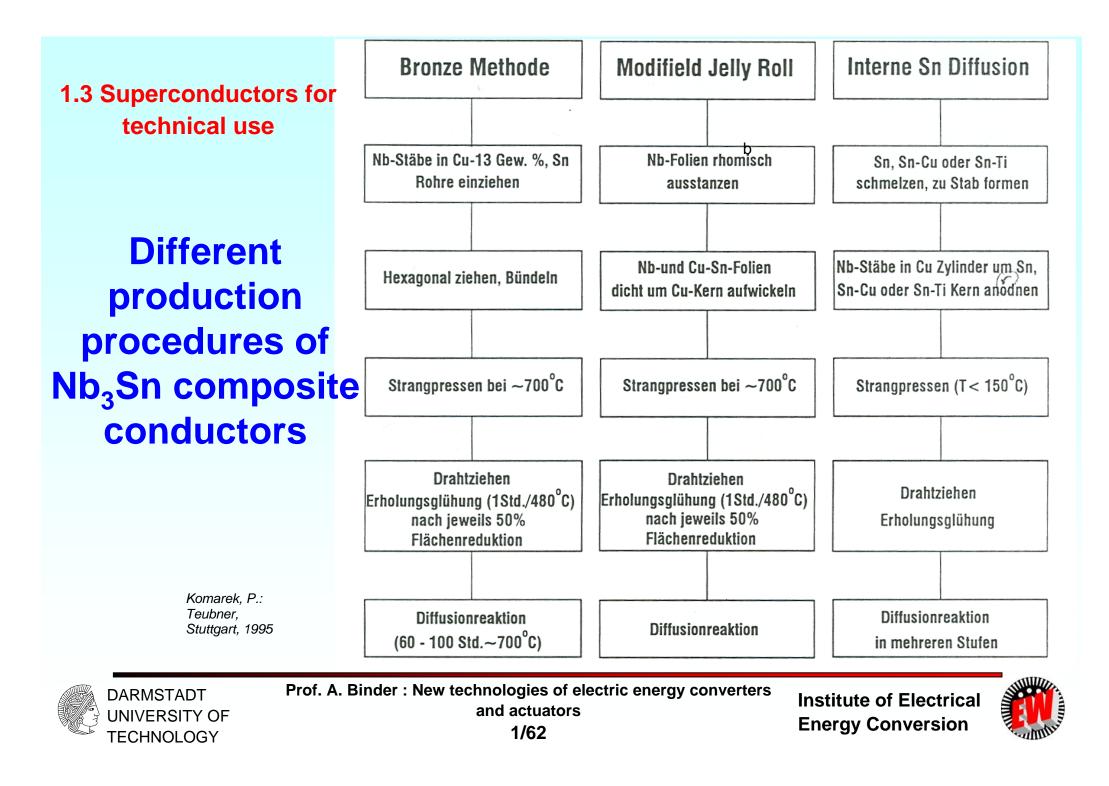


Komarek, P.: Teubner, Stuttgart, 1995



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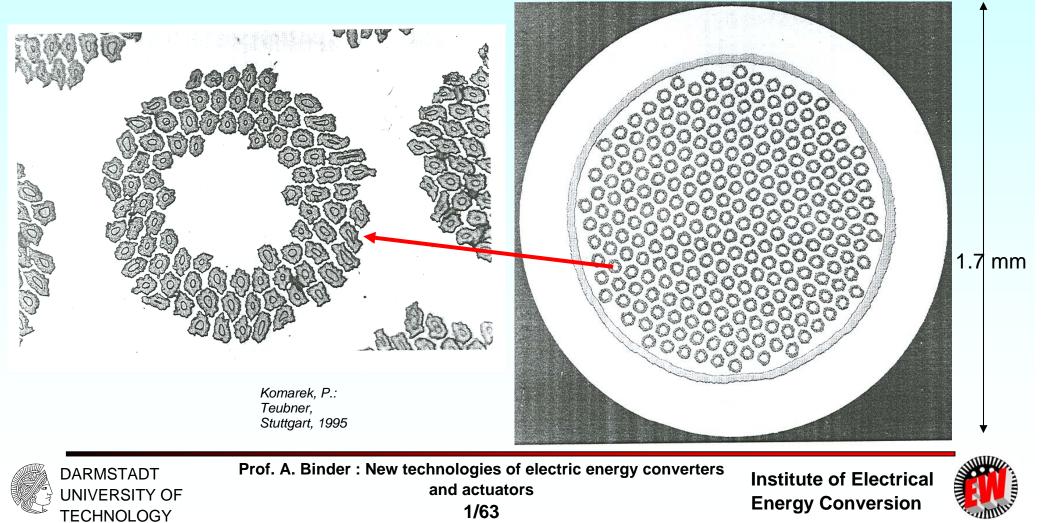




1.3 Superconductors for technical use Nb₃Sn composite round wire

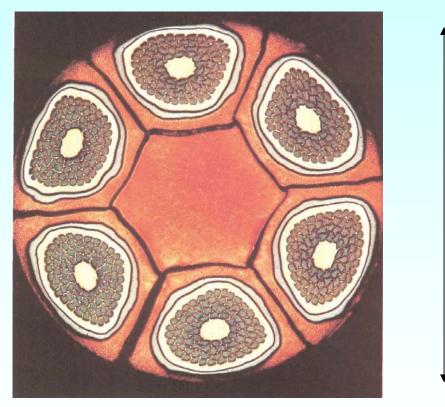
• Diameter 1.7 mm, 23000 Nb₃Sn filaments, critical current I_c = 750 A at 12 T and 4.2 K

• "Engineering" current density: $750/(1.7^2\pi/4) = 330 \text{ A/mm}^2$



1.3 Superconductors for technical use Nb₃Sn composite round wire

• Diameter 2.6 mm, 10000 Nb₃Sn filaments per conductor, 6 conductors in parallel, diameter 3 μ m per filament, Cu-Sn-matrix material: copper-tin alloy



2.6 mm

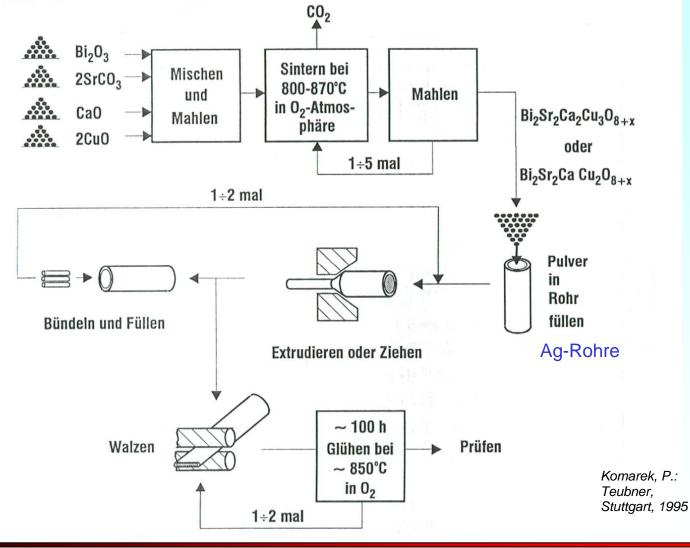
Source: Vacuumschmelze GmbH, Hanau, Germany, 1983



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Production of Bi-superconductor composite flat wires



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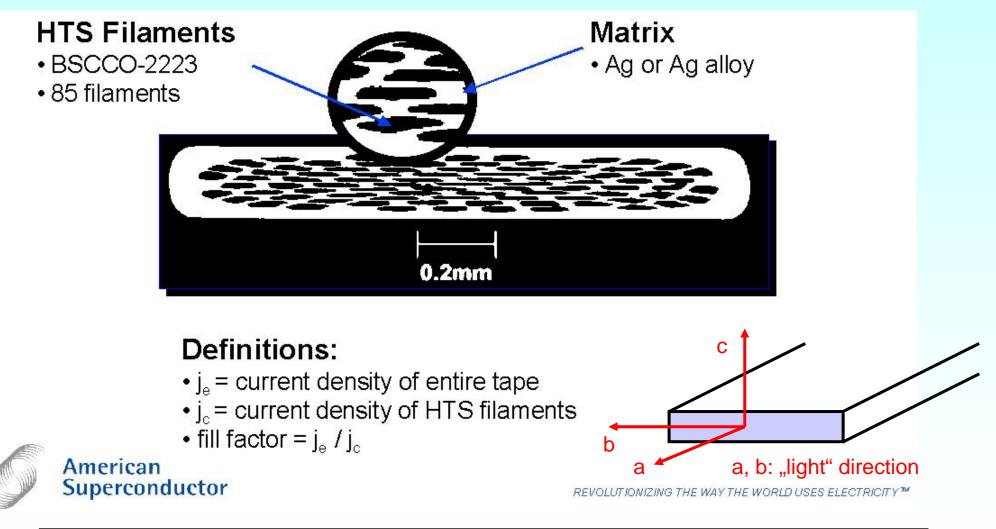


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Cross section of a BiSCCO flat filament conductor





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1.3 Superconductors for technical use Winding up of HTSC BiSCCO flat filament conductors



Source: American Superconductor, USA

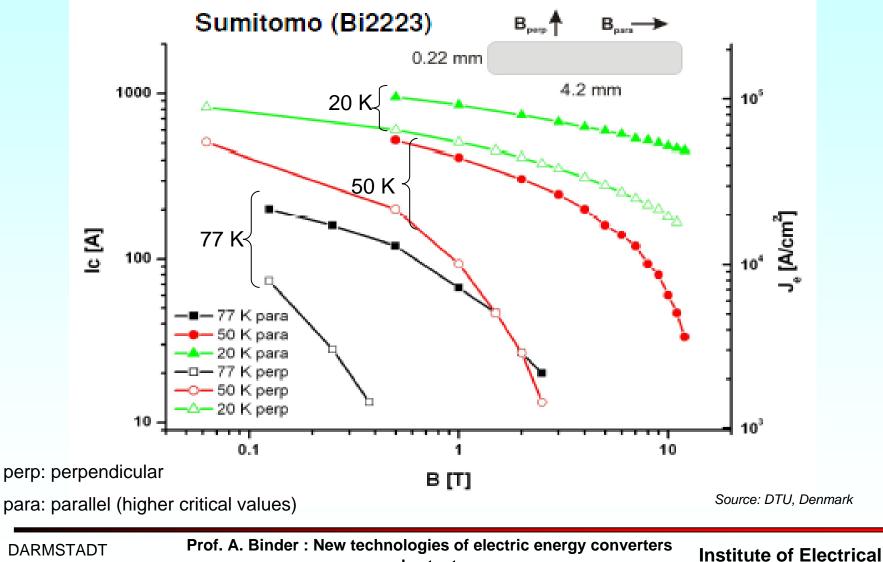
> BSCCO-tapes: Cost per kA & m length = ca. 120 €/(kA·m) (2013) Source: energiewirtschaft 112 2013, no.6



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1.3 Superconductors for technical use HTSC BSCCO anisotropic tapes for technical use







and actuators

Technical data of YBCO tape conductors (Issue 2007)

Substrate: Non-magnetic stainless Cr-Ni-steel band: Thickness $\Delta = 0.05 \dots 0.1$ mm HTSC: YBa₂Cu₃O_{7-x}, Thickness $d = 0.5 \dots 3 \mu m$ depending on application Cover layer: Silver, gold, copper: Thickness 0.1 … 40 μm Band width: 4.0 … 40 mm, lengths 100 … 500 m

Cross section: e.g. 0.1 mm x 4.0 mm = 0.4 mm^2

Critical current (77 K, 0 T) in HTSC: 3 μ m x 4 mm: 135 A; 3 μ m x 40 mm: 1000 A Critical current density (per HTSC cross section): 77 K, 0 T: 15 ... 40 kA/mm² Critical current density (per total cross section) = "Engineering"-current density: at 77 K: 400 ... 800 A/mm² e.g.: $I_c / A = J_c \cdot d / \Delta = 40$ kA/mm² $\cdot (1\mu$ m/100 μ m) = 400A/mm² at 65 K: 800 ... 1600 A/mm²

Thermal conductivity (77 K, 3 μm YBCO): 25 W/(m·K) Tensile strength (Zugfestigkeit): 650 MPa Minimum admissible bending radius: 9 mm, max. admissible torsion angle per cm: 30° at 4 mm band width



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YBCO tape superconductors (Issue 2009)

HTS – Material: YBCO-Bandleiter vom AMSC,

Substrat: RABiTS NiW5%, 0.8 μm YBCO, Stabilisierung: 2 x 25 μm Edelstahl 4.4 mm breit, blank, I_c: ~85 A @ 77 K

- HTS wire laminated on both sides with stainless steel for strength and stability
- Stainless steel lamination provides high resistance shunt
- High engineering current density
- Robust product with excellent mechanical strength and bend tolerance

Source: American Superconductor, USA 344 superconductors are American Superconductor's new 3-ply, 4.4 mm wide second generation HTS wires.





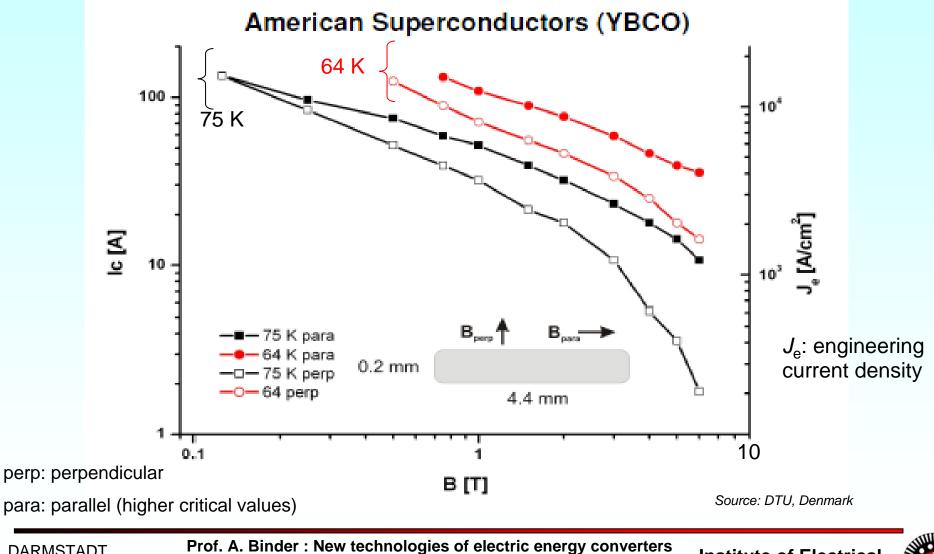


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1.3 Superconductors for technical use HTSC YBCO anisotropic tapes for technical use

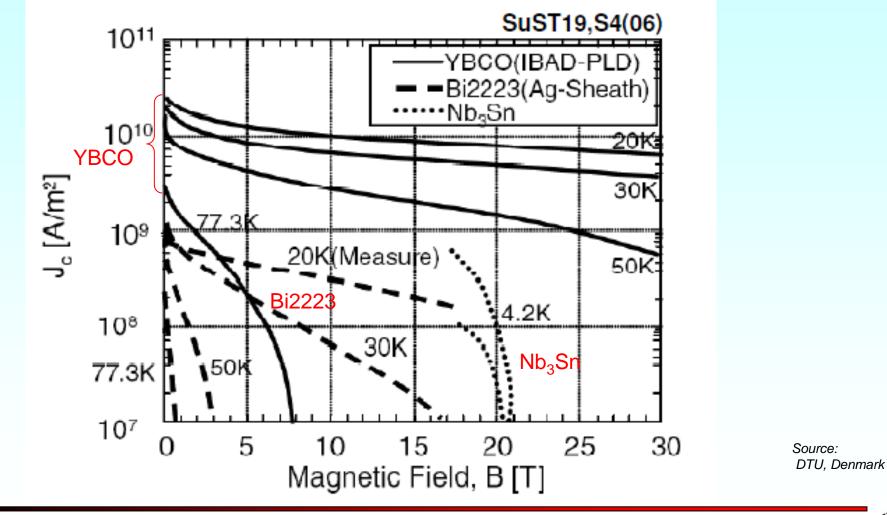








Comparison of HTSC anisotropic tape conductors with LTSC Nb₃Sn



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1.3 Superconductors for technical use YBCO tape superconductors (Issue 2013)

YCBO layer ca. 1 ... 3 µm

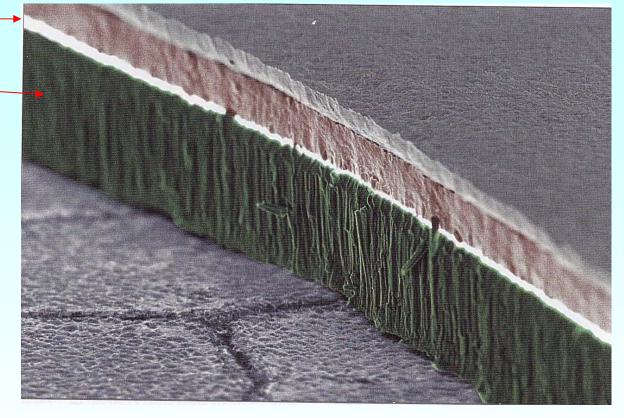
Stainless steel carrier tape ca. 0.1 mm

Typical data:

- 500 A per cm tape width = 400 A/mm^2 "engineering" current density at 77 K
- Tape width 4 ... 12 mm

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- Cost per kA & m length = $250 \notin (kA \cdot m)$ 4-times of copper conductor (2013) Aim: 2016: 60 €/(kA·m)
- Different manufacturing methods: e.g.: Metal Organic Chemical Vapor **Deposition MOCVD**



e.g.: A = 10mm $\cdot 0.12$ mm = 1.2mm² $J_c = I_c / A = 500 A / 1.2 \text{mm}^2 = 400 \text{A} / \text{mm}^2$





Source: Theva Dünnschichttechnik GmbH, Ismaning, D, Published in: energiewirtschaft 112, 2013, no. 6

New technologies of electric energy converters and actuators

Summary: Superconductors for technical use

- Low temperature metallic superconductors: NbTi and Nb₃Sn: isotropic behaviour
- High temperature ceramic copper oxide superconductors: Ba- and Y-cuprates, anisotropic behaviour
- Ba-cuprates as thin flat wires with silver matrix
- Y-cuprates as massive conductors below ca. 5 ... 10 cm or as flat band conductor strips



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New technologies of electric energy converters and actuators

1. Superconductors for power systems

- 1.1 Fundamentals of superconductivity
- 1.2 Technical design of superconductors
- 1.3 Superconductors for technical use
- 1.4 Cooling procedures
- 1.5 Cryostats
- 1.6 Cryogenic technology



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1.4 Cooling procedures

Coolants

Coolant	Melting point	Boiling point (1 bar)
Не	-	4.2 K
H ₂	14 K	20.4 K
N ₂	63 K	77.3 K

- Helium for cooling:
 - a) liquid Helium LHe I near boiling point S
 - b) "super-critical" liquid Helium
 - c) superfluid liquid Helium He II near 1.8 K

("superfluid" = very low viscosity)

• Property of Helium a), b), c) different



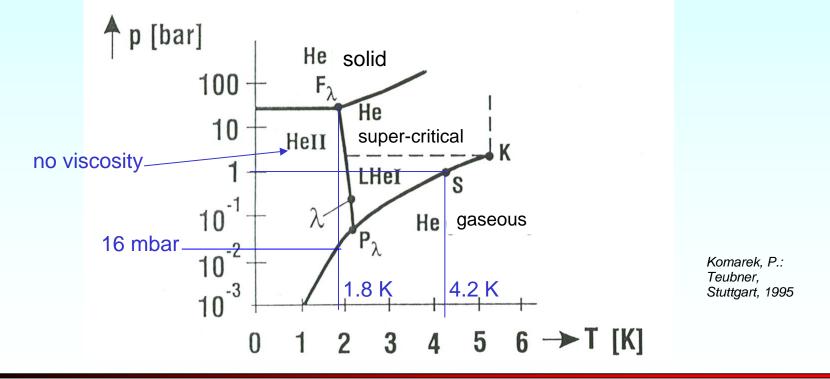




1.4 Cooling procedures

Pressure (p)-Temperature (T) phase diagram of Helium

- K: critical point 5.2 K, 2.26 bar, S: boiling point 4.2 K at 1 bar
- λ : p(T)-separation line between LHe I (L: liquid) and HeII ("superfluid" Helium)
- P_{λ} (2.17 K, 0.049 bar)... F_{λ} (1.76 K, 29.7 bar): crossover gaseous solid



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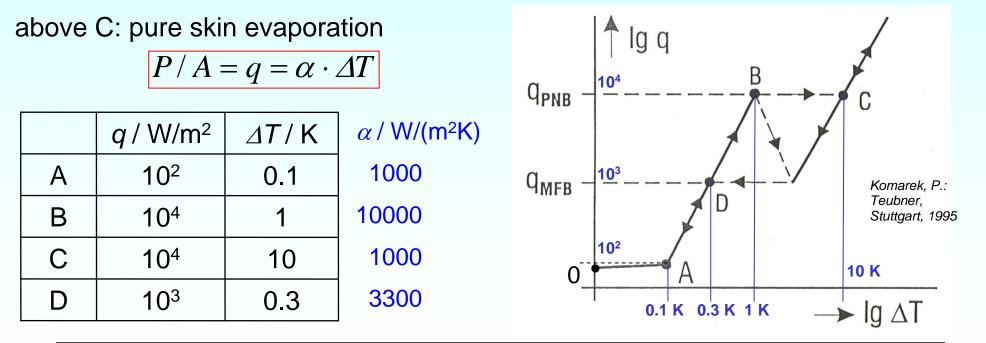




1.4 Cooling procedures Helium bath cooling

- 0....A: convective heat transfer at liquid Helium LHe I
- A...B: Evaporation of LHe I (blister evaporation)

- *q*: heat flow density *P*: losses α : heat transfer coefficient ΔT : temperature difference *A*: cooling surface
- B: The blister evaporation creates an enclosed vapour skin
- B...C...D: Thermally instable range between blister- and skin evaporation





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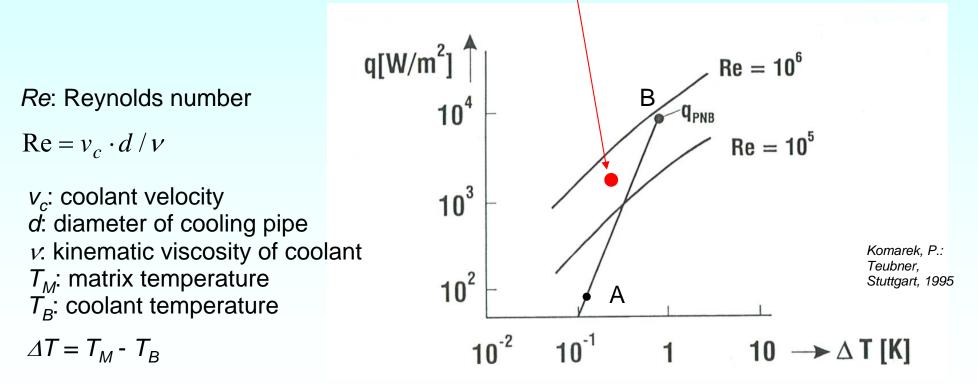
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1.4 Cooling procedures

Heat Transfer: Forced flow of super-critical He

- Flowing super-critical He (4.2 K, 3 bar): *Reynolds* number e.g. 10⁵ or 10⁶ resp.
- q_{PNB} : Boiling bath cooling LHe I with blister evaporation (1 bar)
- Superfluid He II: $T_M = 2.16$ K, $T_B = 1.8$ K, $\Delta T = 0.36$ K: q = 2100 W/m²





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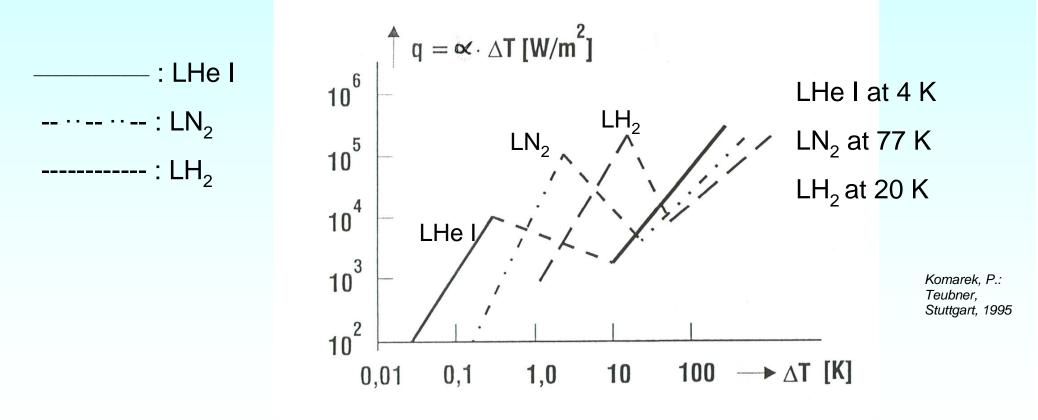




1.4 Cooling procedures

Boiling bath cooling: Nitrogen LN₂ and Hydrogen LH₂

- Compared: LHe I, LH_2 and LN_2 (p = 1 bar) (L: liquid)
- Blister cooling, unstable transition and skin cooling







New technologies of electric energy converters and actuators

Summary: Cooling procedures

- Low temperature superconductors: Liquid Helium cooling below 4 K
- Boiling bath cooling
- liquid pressurized He cooling
- superfluid He cooling at very low temperatures below 2 K
- High temperature superconductors:
 - Liquid Nitrogen cooling or gaseous rare gas cooling, below 77 K



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New technologies of electric energy converters and actuators

1. Superconductors for power systems

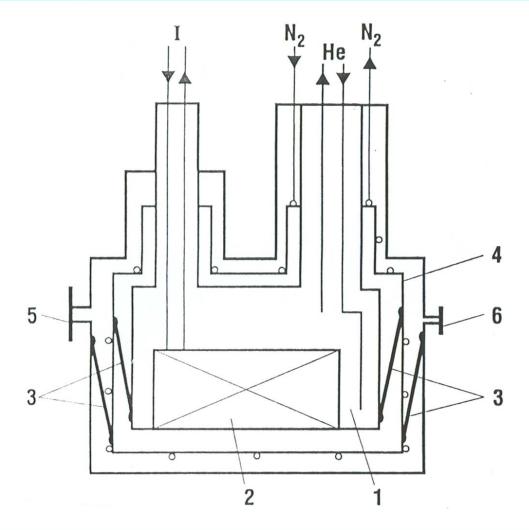
- 1.1 Fundamentals of superconductivity
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Cryostat: Schematic design



- 1: 4 K-He space
- 2: Superconductor magnet
- 3: Suspensions
- 4: Radiation shield cooled to 80 K with LN₂
- 5: Bursting disc
- 6: Evacuating system connection
- I: Current leads

He: LHeI-Helium supply and He-exhaust gas

 $\rm N_2$: Shield cooling with $\rm LN_2$ supply and $\rm N_2$ -exhaust gas

Komarek, P.: Teubner, Stuttgart, 1995





Thermal input to cryostats by heat conduction

Heat conduction by mechanic fixation rods from warm to cold side: a)

Fourier law: $q = \lambda \cdot \Delta T / \Delta x$

 λ : Thermal conductivity for conduction of heat

Usually AUSTENITIC Cr-Ni-doped steel (non-magnetic) is used, which can be used also at very low temperatures without getting brittle! Heat flow is typically $\lambda \Delta T = 416$ W/m per length of a steel rod!



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Thermal input to cryostats by radiation

b) Thermal radiation from warm to cold areas:

$$P = A_K \cdot \frac{\sigma_{SB} \cdot (T_H^4 - T_K^4)}{\frac{1}{\varepsilon_K} - \frac{A_K}{A_H} \left(1 - \frac{1}{\varepsilon_H}\right)}$$

(Stefan-Boltzmann law, combined with Kirchhoff law)

 $\sigma_{SB} = 5.67 \cdot 10^{-8} \text{ W/(m^2K^4)}$ Stefan-Boltzmann constant

Absorption coefficient of radiation: ε_{κ} (at cold side), ε_{μ} (at hot side): $0 \le \varepsilon_{\kappa}, \varepsilon_{\mu} \le 1$ ε_{κ} should be small!

Radiating surface: A_{κ} (at cold side), A_{μ} (at hot side) Surface temperature: T_{K} (at cold side), T_{H} (at hot side) Radiated power: P

Note: At $\varepsilon_{\rm H} = 1$: $P \sim \varepsilon_{\rm K}$

<u>Note</u>: At $\varepsilon_{\kappa} = 1$, $\varepsilon_{H} = 1$, $A_{\kappa} = A_{H} = A$: we get Stefan-Boltzmann law alone: $P = A \cdot \sigma_{SB} \cdot (T_{H}^{4} - T_{K}^{4})$



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Thermal input to cryostats by "convection"

c) Heat convection by He-gas as residual gas in vacuum:

Residual He-gas molecules transport heat (= kinetic molecular energy) ! All other residual molecules (H_2 , O_2 , N_2 , ...) are frozen at the cold side!

 $P = A_K \cdot \alpha \cdot (T_H - T_K)$ $\alpha = K_W \cdot a_{AK} \cdot p_{Pa}$ (α : heat transfer coefficient) K_{W} : gas constant (He: 2.10⁵ W/(m²·K·bar))

 a_{AK} : Accommodation coefficient (He: 0.4), p_{Pa} : Partial pressure of residual gas



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Example: Thermal input to cryostats

a) Austenitic rod fixation: Temperature difference

 $\Delta T = 80 - 4 = 76$ K, rod cross section A = 1 cm², rod length $\Delta x = 50$ cm :

 $P = A \cdot \lambda \cdot \Delta T / \Delta x = 10^{-4} \cdot 416 / (50 \cdot 10^{-2}) = 80 mW$: P = 80 mW

b) Radiation: $\sigma_{SB} = 5.67 \cdot 10^{-8} \text{ W/(m^2K^4)}$ Stefan-Boltzmann constant

<u>Cold side:</u> well polished metal surface: $\varepsilon_{\kappa} = 0.05$, 4.2 K

<u>Hot side</u>: oxidised (dead) plate: $\varepsilon_{H} = 0.5, 80 \text{ K}$

 $A_{H} \sim A_{\kappa}$: $P/A_{\kappa} = q = 0.11 \text{ W/m}^2$

c) He-residual gas: Partial pressure 10⁻⁵ mbar in vacuum container

 $T_{\mu} = 80 \text{ K}, T_{\kappa} = 4.2 \text{ K}, P/A_{\kappa} = 2 \cdot 10^5 \cdot 0.4 \cdot 10^{-8} \cdot (80 - 4.2) = 0.06W/m^2$ $q = 0.06 \text{ W/m}^2$

e.g.: $A_K = 1m^2$: $P = (q_{b1} + q_{c1}) \cdot A_K = (0.11 + 0.06) \cdot 1 = 0.17 = 170$ mW



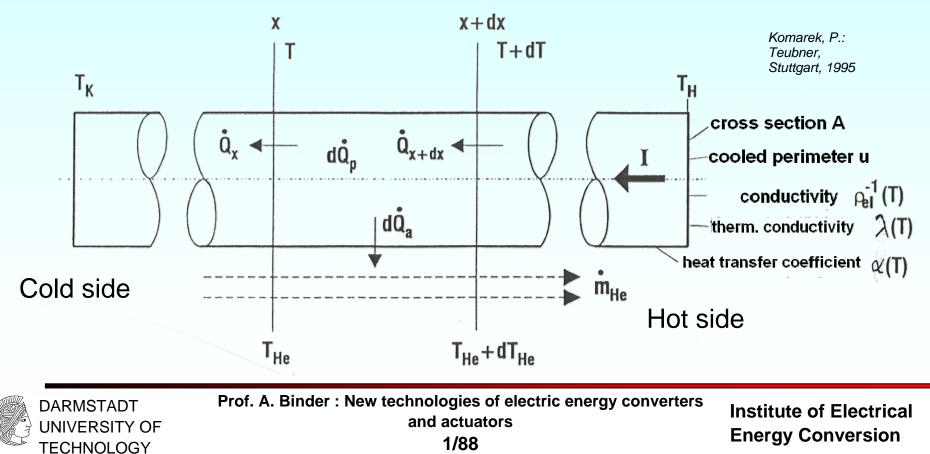
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Heat balance in cooled current feed

- Q: Heat energy, heat flow density q = (dQ/dt)/A in gas cooled current feed
- Coolant flow rate dm_{He}/dt , current flow I
- Q_p : Heat by RP^2 Q_a : energy export by cooling





Heat inflow in non-cooled current feed

- $P/V = \rho_{al}(T)J^2$ Joule losses per volume
- Wiedemann-Franz-Lorenz law: $\lambda(T)\rho_{el}(T) = L_0T$ $L_0 = 2.445 \cdot 10^{-8} \text{ W} \cdot \Omega/\text{K}^2$
- Fourier heat conducting law: Heat flow density: $q(x) = -\lambda(T) \cdot dT / dx$
- Heat flow balance: $A \cdot dq(x) = A \cdot (q(x + dx) q(x)) = A \cdot dx \cdot \rho_{ol}(x)J^2$
- HEAT CONDUCTION-equation: $\frac{d}{dx} \left(\lambda(x) \cdot A \cdot \frac{dT}{dx} \right) + \frac{\rho_{el}(x)}{A} I^2 = 0$
- Boundary condition: Copper bar: x = 0, $T = T_{H}$; x = L, $T = T_{K}$
- Solution: $q = P/A = \frac{1}{A} \int_{-\infty}^{L} \rho_{el}(x) J^2 A dx = \left(\frac{I}{A}\right)^2 \int_{-\infty}^{L} \rho_{el}(x) dx = \frac{I}{A} \sqrt{2 \int_{-\infty}^{TH} \lambda(T) \rho_{el}(T) dT}$ $\int_{0}^{TH} \lambda(T) \rho_{el}(T) dT = \int_{0}^{TH} L_0 T dT = L_0 \frac{T_H^2 - T_K^2}{2} \qquad \Longrightarrow q = \frac{I}{A} \sqrt{L_0 (T_H^2 - T_K^2)}$ TK



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Example: Non-cooled current feed

- Copper-current feed: T_{K} = 4.2 K, T_{H} = 290 K (17°C at hot side)
- $I = 1000 \text{ A Transport current: } P = q \cdot A = I_{\sqrt{L_0(T_H^2 T_K^2)}}$ $P = q \cdot A = 1000\sqrt{2.445 \cdot 10^{-8}(290^2 - 4.2^2)} = \underline{45.3W}$

45 W: much too high !

- Such simple built current feeds CANNOT be used!
- If $T_H = 290$ K, $T_K = 77$ K: <u>P = 43.7 W</u> would be somewhat smaller.
- Current feeds **must be cooled**, so heat loss can be removed via a cooling gas and cannot flow to cold side.



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Technically realised current feeding

a) Exhaust gas cooled current feed:

He-Boiling-bath cooling: vaporized He passes as cooling gas past current feed

b) HTSC superconductor as current feed:

<u>He cold gas cooling</u>: critical temperature below the limit for HTSC \Rightarrow Cold side LTSC: (Nb₃Sn), intermediate conductor: HTSC (Bi(2212)), Hot side: copper as conductor.

c) Removable current feed for "current short circuit" operation: e.g. in computer tomographs (MRI), SMES:

DC current needed for exciting a DC magnetic field for long time:

- Cold end: plug-in connection,
- Super-conducting short circuit switch for SC winding,
- \Rightarrow No loss due to heat conduction via current feed



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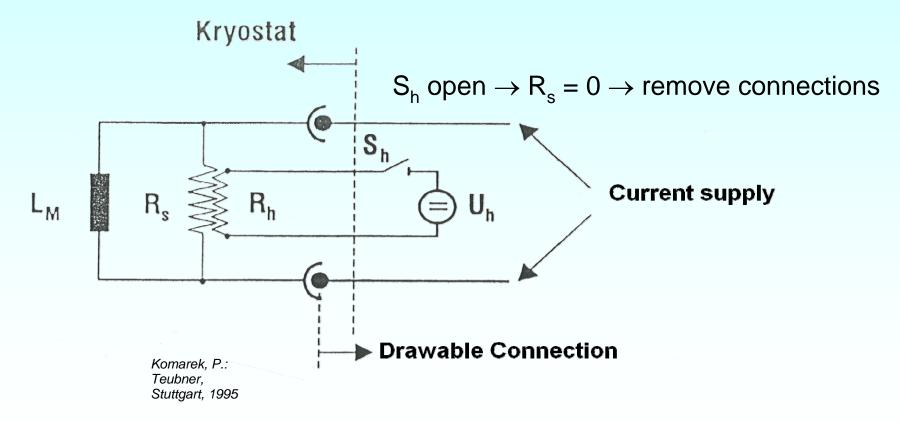
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Removable current feed for SC-magnet

- Continuous DC current operation with superconducting short circuit switch R_s
- L_M : magnet coil inductivity, $R_h S_h U_h$: heating circuit







New technologies of electric energy converters and **actuators**

Summary: Cryostats

- Low temperature tank vessels
- Needed for maintaining a space at very low temperature
- Vacuum thermal insulation
- Radiation shields at intermediate temperature 77 K for 4 K operation



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New technologies of electric energy converters and actuators

1. Superconductors for power systems

- 1.1 Fundamentals of superconductivity
- 1.2 Technical design of superconductors
- 1.3 Superconductors for technical use
- 1.4 Cooling procedures
- 1.5 Cryostats
- 1.6 Cryogenic technology



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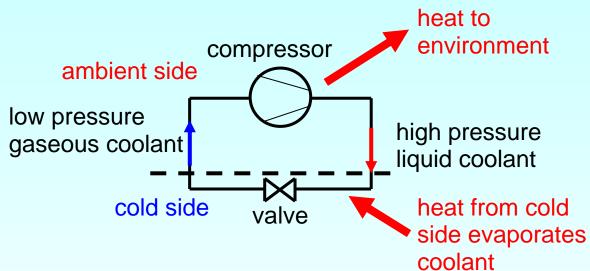
Cryogenic properties of He and N ₂	Helium	Nitrogen
Boiling point T_s at 1 bar	4.22 K	77.35 K
Critical point p_{κ}/T_{κ} : Pressure / Temperature	2.3bar /5.22K	33.9bar/126K
Vaporizing heat w	20.8 kJ/kg	199 kJ/kg
Heat conductivity λ : boiling liquid	0.027 W/(m·K)	0.14 W/(m·K)
Heat conductivity λ : Gas at 300 K (about 27°C)	143 W/(m·K)	24 W/(m·K)
Heat flow density q for blister vaporization at 1 bar	0.8 W/cm ²	\leq 12 W/cm ²
Specific heat c_p of boiling liquid, J/(kg·K)	4.41	2.03
Specific heat c_p of gas (at 0°C, 1 bar), kJ/(kg·K)	5.23	1.04
Density ρ of boiling liquid	124.8 kg/m ³	804.2 kg/m ³
Density $ ho$ of gas (at 0 °C, 1 bar)	0.178 kg/m ³	1.25 kg/m ³
Relative permittivity ε_r / loss factor $tg\delta$	1.05 / 2·10 ⁻⁶	1.43 / >1·10 ⁻⁵
Breakdown field strength E _D for boiling liquid, kV/cm	200400	300600
Breakdown field strength <i>E_D</i> for gas (at 1°C, 1 bar)	4.7 kV/cm	3.3 kV/cm
Pressure influence (<i>Paschen law</i>): <i>E_{D,min}</i> (kV/cm) <i>p</i> *	1.7 @ <i>p*</i> =50mbar	0.3 @ <i>p*</i> =10mbar



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Cold vapour process (1)



- Refrigeration machine: Thermodynamic cycle process
- Cryogen (coolant, CN) operated between "liquid" and "gaseous" state.

CN: Low boiling point, vapour heat is extracted from the cooled object.

CN: Afterwards liquefaction via compression \Rightarrow The critical temperature of cryogen must be higher than the condenser temperature, otherwise no liquefaction of the gas under pressure possible.



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Cold vapour process (2)

- Applications: Compressor fridge, heat pump (reversed operation to fridge)
- Special design: absorber fridge
- To create very low temperatures (< -100 °C) the <u>cold vapour process</u> cannot be used for technical reasons:
 - "cold" compressor causes technical problems (bearings!),
 - sealing problems,
 - freezing lubricant in bearings etc.







1.6 Cryogenic technology Cold gas process

• Cryogen (coolant) in gaseous state

1) Stirling process

In the **Stirling cycle process** the cryogen (He gas) is used in a closed thermal cycle and removes heat from chilled goods.

2) Adiabatic expansion (Q = const.)

An (ideal) gas is expanded in an expansion machine (e.g. piston engine,...) (= the pressure drops). The delivered work from the gas to the machine decreases the heat energy in gas, which is cooled.

3) Joule-Thomson expansion

Expansion of a **real** gas via flowing through a throttle valve: Temperature falls in the gas (due to gas work against the attracting *van der Waals* forces).



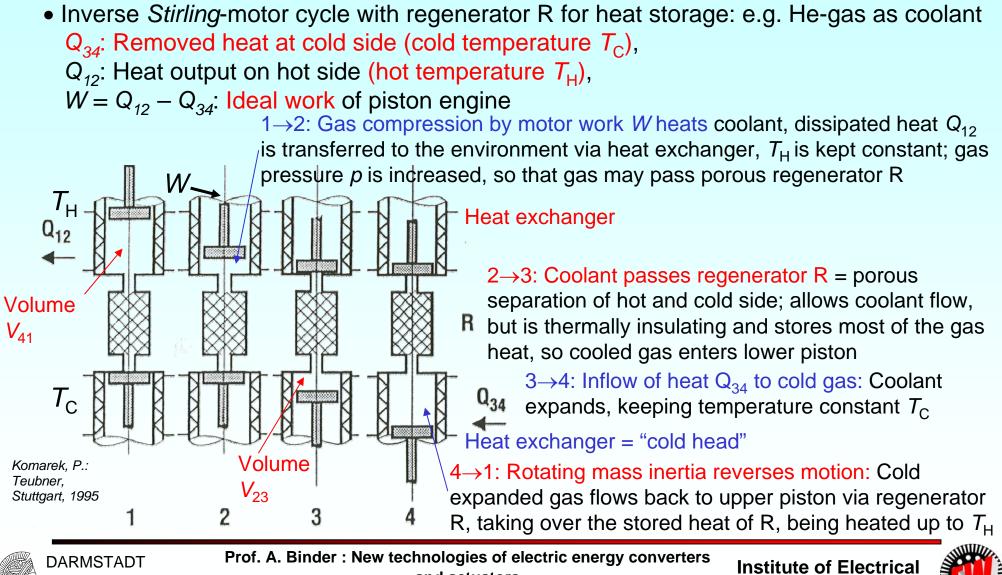
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1.6 Cryogenic technology Stirling cooling process





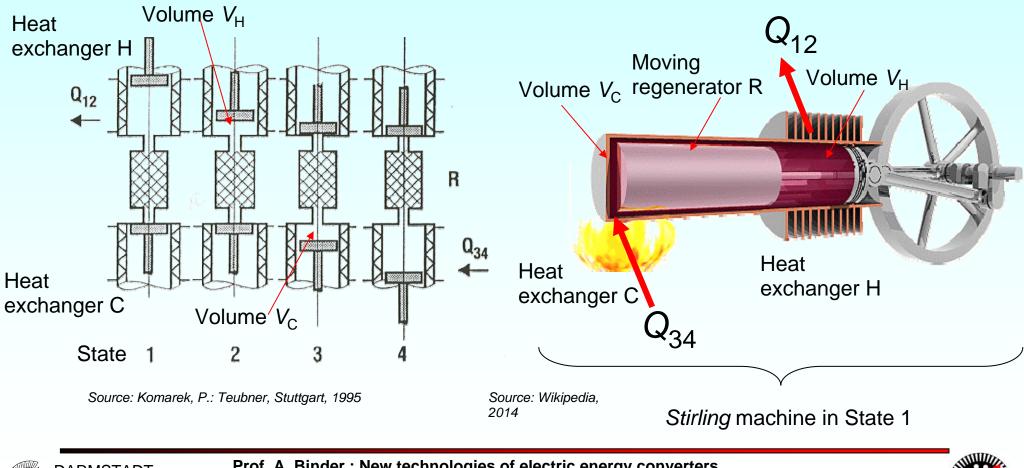
and actuators



Stirling cooling machine

Moving pistons

Moving regenerator





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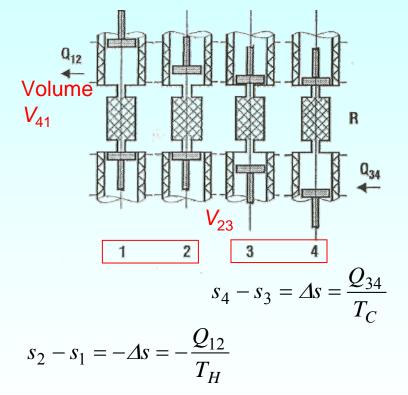


1.6 Cryogenic technology Stirling cooling process: T-s-Diagram

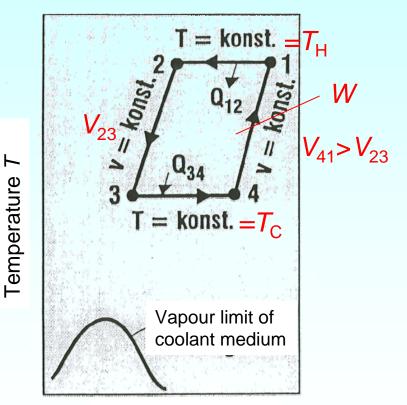
• Temperature-entropy: T(s)-diagram of the coolant medium

Komarek, P.: Teubner, Stuttgart, 1995

Entropy change: ds = dQ/T; enclosed area = necessary work *W* for cooling



Q = m c T: At *m*, *c* const.: $\Delta s_{12} = -\Delta s_{34}$











1.6 Cryogenic technology Stirling cooling process: Theoretical efficiency

 \vdash

• Calculation of work W from T(s)-diagram

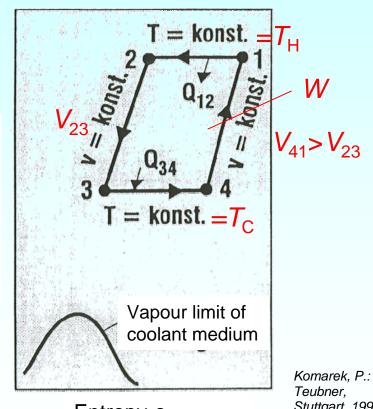
$$W = \int_{1-2-3}^{1-2-3} T(s) \cdot ds - \int_{1-4-3}^{1-4-3} T(s) \cdot ds = \int_{1-2-3}^{1-2-3} \frac{dQ}{ds} \cdot ds - \int_{1-4-3}^{1-4-3} \frac{dQ}{ds} \cdot ds =$$
$$= \int_{1-2-3}^{1-2} \frac{dQ}{ds} - \int_{1-4-3}^{1-4-3} \frac{dQ}{ds} - \int_{1-2}^{1-4-3} \frac{dQ}{ds} - \int_{1-4-3}^{1-4-3} \frac{dQ}{ds} \cdot ds =$$
$$W = Q_{12} - Q_{34}$$

• Ideal efficiency of the cooling process η :

$$\eta = \frac{Q_{34}}{W} = \frac{Q_{34}}{Q_{12} - Q_{34}} = \frac{\Delta s \cdot T_C}{\Delta s \cdot T_H - \Delta s \cdot T_C} = \frac{T_C}{T_H - T_C}$$

$$\eta = \frac{T_C}{T_H - T_C}$$

Facit: The ideal efficiency of the *Stirling* cooling process is identical with the CARNOT-efficiency and therefore maximum!



Entropy s

Stuttgart, 1995



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Adiabatic expansion (Q = const.)

- Ideal gas: Gas particles have no volume ("points"), but mass, and have no interacting forces
- Ideal gas: $p \cdot V = n \cdot R \cdot T$

n: number of mol =
$$N/N_A$$
, *N*: particle number $N_A = 6.022 \cdot 10^{23}$ Avogadro's number

- Inner energy of an ideal gas: $U = N \cdot (f/2) \cdot k \cdot T$ f: number of degrees of freedom per particle $U = n \cdot (f/2) \cdot N_A \cdot k \cdot T = n \cdot (f/2) \cdot R \cdot T$

- Change of inner energy by work W or heat Q: $dU = \delta W + \delta Q$
- <u>Adiabatic change of state</u>: No heat exchange: $\delta Q = 0$: $dU = \delta W$

Ideal gas is expanded e.g. in a piston engine, the gas works against the piston. The delivered work $\Delta W < 0$ from the gas to the piston decreases the inner gas energy, hence its temperature T drops.

$$\Delta W = \Delta U = n \cdot (f/2) \cdot R \cdot \Delta T = n \cdot (f/2) \cdot R \cdot (T_2 - T_1) < 0 : T_2 < T_1$$

 $p_1 \cdot V_1 \sim T_1 > p_2 \cdot V_2 \sim T_2$: pressure drops via expansion: $p_2 < p_1$







Ideal gas versus real gas

- Ideal gas: Gas particles have
 - no volume ("points"), but mass,
 - no interacting forces
- Real gas: Gas particles have
 - volume ("NO ideal points") and mass,
 - interacting forces (electrostatic van der Waals forces)



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Joule-Thomson expansion

• Expansion of a real gas: Flowing through a throttle valve:

- A) There are *van der Waals* forces between the gas molecules, against which the expanding gas performs work, which is covered by its heat content (inner energy *U*): *T* falls.
- B) Gas-molecules have <u>finite</u> volume, which narrows the space for molecule movement (kinetic energy $U \sim kT$). Gas, limited to small volume *V*, has lower *U* than in bigger volume \Rightarrow expansion: *T* rises
- If A dominates over B: Temperature falls at expansion: at low T(U small)
- If B dominates over A: Temperature rises at expansion: at high T(U big)
- The temperature, at which the effect changes its sign, is called inversion-temperature T_i. It depends on the pressure p in the gas.



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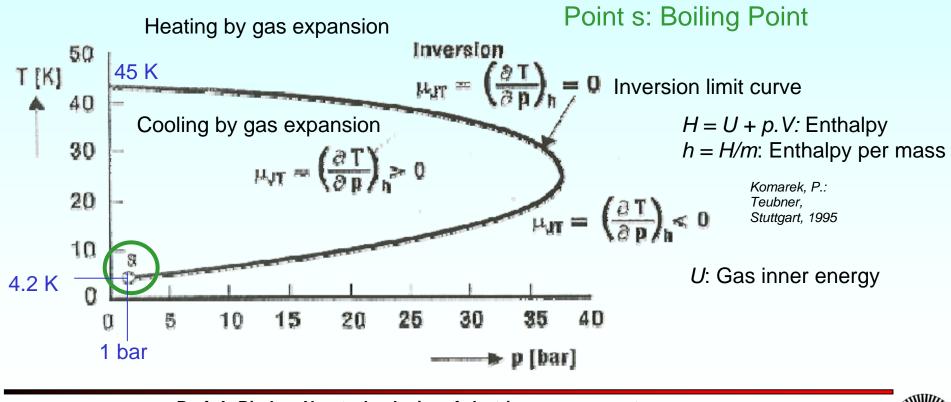
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Helium-inversion temperature T_i

- $T_i(p)$: Inversion curve: He-Gas: $T_i = 45$ K (0 bar), T_i max. at p_{min}
- Inside inversion curve: Expansion by a throttle valve \Rightarrow cooling effect









Inversion temperature T of gases He, H₂, N₂

• p = 0 bar:

Gas	Helium	Hydrogen	Nitrogen
Inversion temperature	45 K	205 K	621 K

- Joule-Thomson effect can be used for N₂ in all cooling ranges from room temperature 293 K (20°C) downwards.
- He and H₂-Gas: Until reaching inversion temperature, it must be precooled by other effects. Multistep cooling processes are necessary.
- Using the *Joule-Thomson* effect:
 - e. g. *Claude* process: LHeI-liquefaction, *Linde* process: N₂-liquefaction



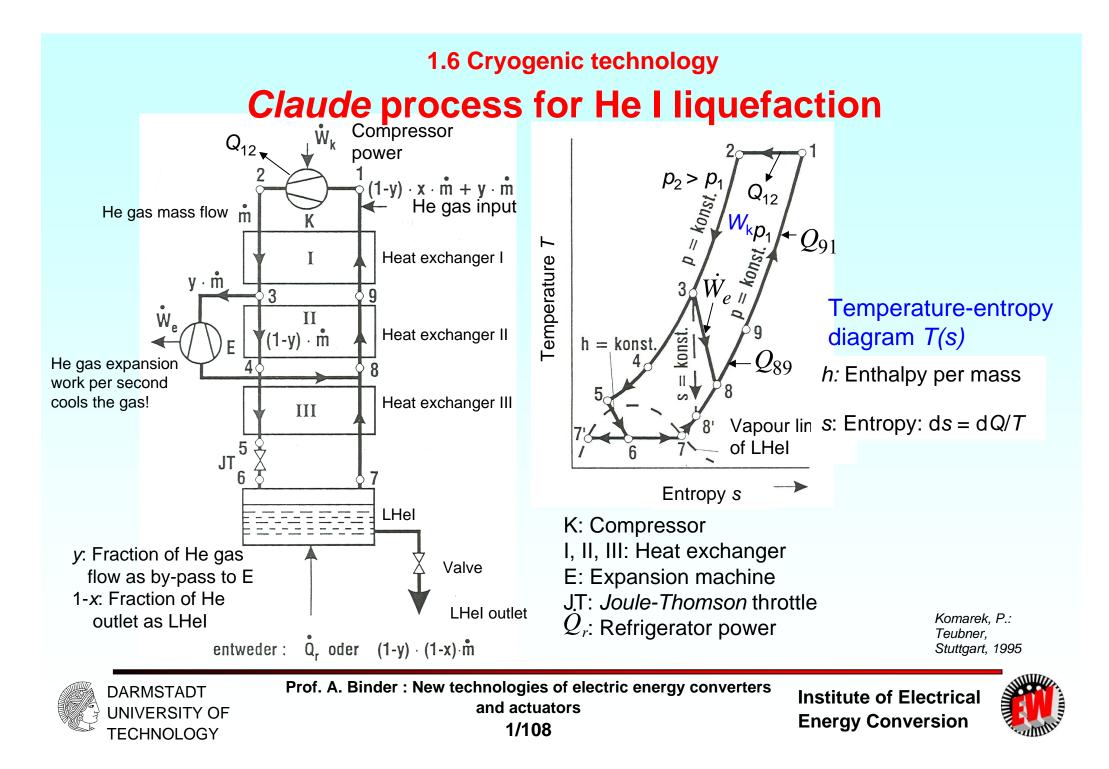
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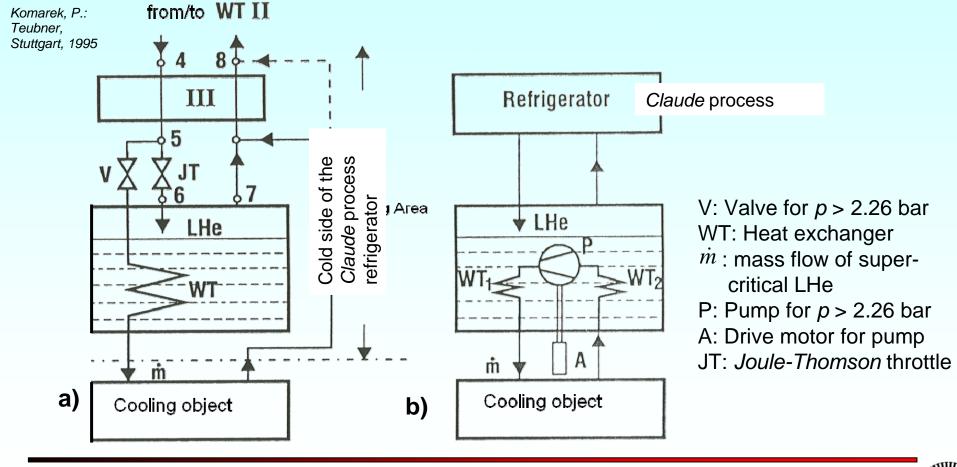






Production of super-critical He (*p* > 2.26 bar**)**

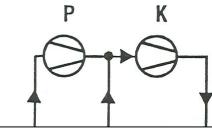
a) Directly from *Claude* process refrigerating machine for LHeI,b) Via enclosed cooling secondary circuit inside the LHeI bath











Generation of superfluid He II

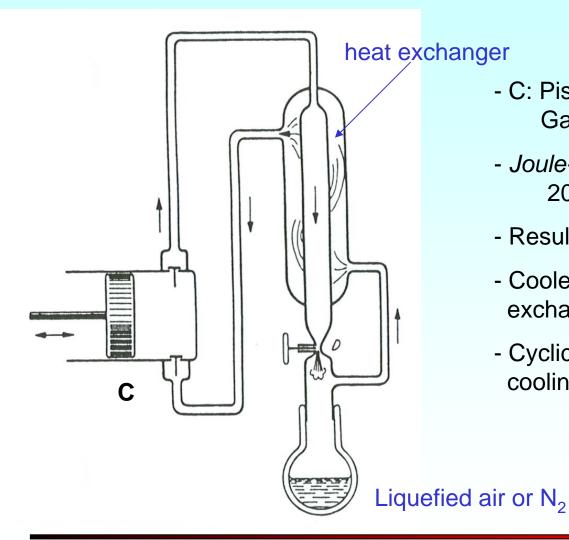
1.6 Cryogenic technology

Cooling with heat exchangers I & II and Expansion E like in Claude process 8 - Pump P for circulating the He III Heat exchanger III - Compressor K for build-up of pressure difference - Joule-Thomson throttle JT for JT JT. sequential cooling 7 LHe I (1 bar, 4,2 K) IV Heat exchanger IV Komarek, P.: Teubner. Stuttgart, 1995 LHe II (16 mbar, 1,8 K)





Linde cycle for air and LN₂-liquefaction



- C: Piston compressor: Gas compression to 200 bar

- *Joule-Thomson* throttle D: Gas expansion to 20 bar,
- Resulting gas cooling of about 45 K
- Cooled gas cools in a reverse flow via heat exchanger the gas inflow!
- Cyclic repetition leads to continued gas cooling, until liquefaction occurs!

Westphal, W.: Physik, Springer, Berlin





Method of N₂ (and H₂) liquefaction

- a) *Linde cycle*: large scale application
- b) Small cooling systems: temperature range 20 K (LH₂) ... 80 K (LN₂)

Stirling cycle and Gifford-McMahon cycle.

b1) *Stirling* cycle:

For most N_2 -liquefiers for lab purpose (80 K, small devices), because of its simple and robust design.

b2) Gifford-McMahon cycle:

For most small cooling systems for temperature range down to about 20 K (LH₂liquefiers):

- 1. Isothermal compression (*p* increased, *V* reduced, T = const.)
- 2. Isobaric compression (p = const., V reduced, heat Q_{12} removed as Q_{12} output)

Work Wneeded

- 3. Isentropic expansion (s = const., V increased)
- 4. Isobaric expansion (p = const., V increased, heat Q_{34} inflow as Q_{34} input)

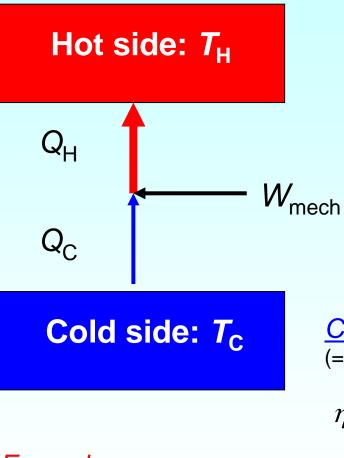


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Efficiency of thermal cyclic processes



Heat energy (J): $Q = m \cdot c \cdot T$

 $Q_{\rm H}$: Heat energy output to hot side

 $Q_{\rm C}$: Heat energy withdrawn from cold side

 $W_{\rm mech}$: Mechanical work of refrigerator

$$\eta_{Cooling} = \frac{Q_C}{W_{mech}} = \frac{Q_C}{Q_H - Q_C} = \frac{T_C}{T_H - T_C} \begin{cases} < 1 \\ > 1 \end{cases}$$

<u>Compare</u>: Efficiency of a thermal cyclic machine (= reversed cycle to cooling process):

$$\eta_{Motor} = \frac{P_{mech}}{P_{in}} = \frac{W_{mech}}{Q_H} = \frac{Q_H - Q_C}{Q_H} = 1 - \frac{T_C}{T_H} < 1$$
$$\eta_{Motor} = \frac{300 - 77}{300} = 74.3\%, \quad \eta_{Cooling} = \frac{77}{300 - 77} = 34.5\%$$

Example:

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$$T_{\rm H} = 300$$
 K, $T_{\rm C} = 77$ K:





Example: Ideal efficiency of cooling systems

- Carnot efficiency η_c : Ideal η :
- $T_{\mu} = 300$ K:
- a) N₂ liquefaction: $T_c = 77$ K:
- b) He liquefaction: $T_c = 4.2$ K:

$$\eta_{Cooling} = \frac{T_C}{T_H - T_C} = \eta_C$$

$$\eta_c = \frac{77}{300 - 77} = 34.5\%$$
$$\eta_c = \frac{4.2}{300 - 4.2} = 1.4\%$$

• For each Watt of heat power that is to be removed from the superconductor, you ideally need for LHe 1/0.014 = 71 W as compressor power, but for LN_2 only 1/0.345 = 3 W !

$$71:3=24(!)$$



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Real energy input for cooling with He and N_2

• The big imbalance 24 : 1 (N_2 : He-liquefaction) shows enormous technical potential of HTSC technology, which can operate with LN_2 .

• Rise of losses due to compressor engine friction, loss of pressure due to friction e.g. in tubes, driving motor losses, heat exchanger losses,...

 \Rightarrow Real cooling systems have a significantly lower efficiency η_{eff} compared to η_{c} .

Result:

A real cooling system with **100 W** cooling power has typically a **1/10** smaller efficiency than the ideal Carnot cooling system. For 1 W cooling power that is to be removed from the superconductor, you need about 700 W electric driving power for the compressor drive at 4.2 K (LTSC), at 77 K (HTSC) it's "only" 30 W.



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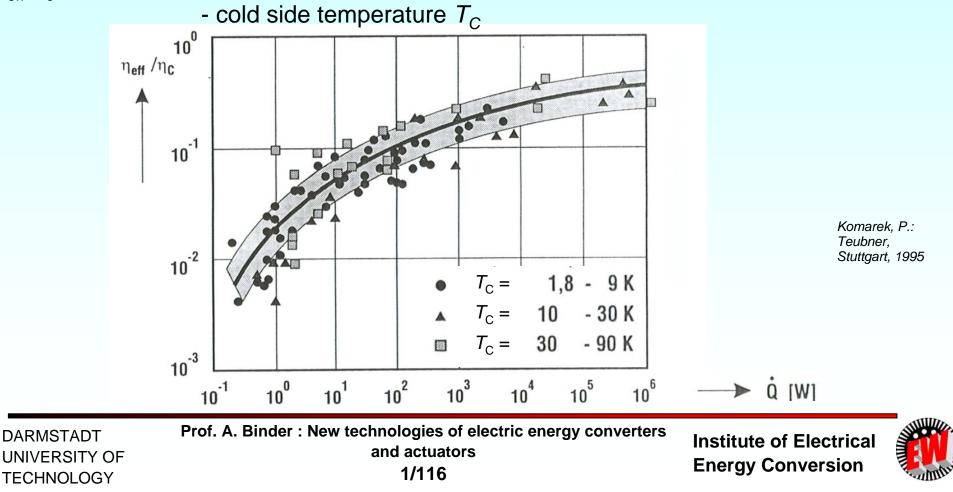
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1.6 Cryogenic technology Efficiency of cooling systems

- \bullet Measured efficiency $\eta_{\rm eff}$ of real cooling systems
- Comparison to ideal Carnot efficiency η_c
- η_{eff}/η_c depends on: system size (removed power Q from cold side),



Portable small Gifford-McMahon-refrigerator

Cooling power:

Q = 1 W at $T_{\rm C} = 4$ K Cold head (T_c) : touches the cold side \dot{Q} = 40 W at $T_{\rm C}$ = 50 K Compressor drive electric input power P_e: 7.5 kW 20 000 hours MTBF (Mean time between failure) $|W|_{T_c = 4K, \eta_c = 0.014} \Rightarrow \frac{|W|}{0.014} = 71.4W$ $\Rightarrow \frac{\eta_{eff}}{\eta_c} = \frac{71.4}{7500} = 0.01$ $40W\big|_{T_c=50K,\eta_c=0.2} \Rightarrow \frac{40W}{0.2} = 200W$ $\Rightarrow \frac{\eta_{eff}}{\eta_c} = \frac{200}{7500} = 0.027$ Source: American Superconductor, USA



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New technologies of electric energy converters and actuators

Summary: Cryogenic technology

- Liquefaction of He or nitrogen needed for cooling
- Cold vapour process only for limited cooling, as seals are freezing
- Cold gas process via cycle processes (e.g. Stirling or Gifford-McMahon)
- Cold gas process via adiabatic expansion or Joule-Thomson-expansion
- For He liquefaction a two-stage Claude cooling process is needed
- Much higher amount of energy needed for He liquefaction than for nitrogen



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